



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

NOVEMBER 14, 2019

PTAS

SUSHMA S. BRADY  
GENENTECH, INC.  
1 DNA WAY  
SOUTH SAN FRANCISCO, CA 94080

**505771466**

### UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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RECORDATION DATE: 11/13/2019

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BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: P35036

ASSIGNOR:  
PHARMARON, INC.

DOC DATE: 05/30/2019

ASSIGNEE:  
GENENTECH, INC.  
1 DNA WAY  
SOUTH SAN FRANCISCO, CALIFORNIA  
94080

APPLICATION NUMBER: 16679104  
PATENT NUMBER:  
TITLE: FUSED RING COMPOUNDS

FILING DATE:  
ISSUE DATE:

APPLICATION NUMBER:  
PATENT NUMBER:  
PCT NUMBER: US2019060578  
TITLE: FUSED RING COMPOUNDS

FILING DATE:  
ISSUE DATE:

APPLICATION NUMBER:  
PATENT NUMBER:  
PCT NUMBER: CN2018114788  
TITLE:

FILING DATE:  
ISSUE DATE:

ASSIGNMENT RECORDATION BRANCH  
PUBLIC RECORDS DIVISION

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT5818283

|                                                                                                                                                                                                 |                                       |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------|
| <b>SUBMISSION TYPE:</b>                                                                                                                                                                         | NEW ASSIGNMENT                        |
| <b>NATURE OF CONVEYANCE:</b>                                                                                                                                                                    | ASSIGNMENT                            |
| <b>CONVEYING PARTY DATA</b>                                                                                                                                                                     |                                       |
| <b>Name</b>                                                                                                                                                                                     | <b>Execution Date</b>                 |
| PHARMARON, INC.                                                                                                                                                                                 | 05/30/2019                            |
| <b>RECEIVING PARTY DATA</b>                                                                                                                                                                     |                                       |
| <b>Name:</b>                                                                                                                                                                                    | GENENTECH, INC.                       |
| <b>Street Address:</b>                                                                                                                                                                          | 1 DNA WAY                             |
| <b>City:</b>                                                                                                                                                                                    | SOUTH SAN FRANCISCO                   |
| <b>State/Country:</b>                                                                                                                                                                           | CALIFORNIA                            |
| <b>Postal Code:</b>                                                                                                                                                                             | 94080                                 |
| <b>PROPERTY NUMBERS Total: 3</b>                                                                                                                                                                |                                       |
| <b>Property Type</b>                                                                                                                                                                            | <b>Number</b>                         |
| <b>PCT Number:</b>                                                                                                                                                                              | CN2018114788                          |
| <b>PCT Number:</b>                                                                                                                                                                              | US2019060578                          |
| <b>Application Number:</b>                                                                                                                                                                      | 16679104                              |
| <b>CORRESPONDENCE DATA</b>                                                                                                                                                                      |                                       |
| <b>Fax Number:</b>                                                                                                                                                                              | (650)952-9881                         |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> |                                       |
| <b>Phone:</b>                                                                                                                                                                                   | 6504673636                            |
| <b>Email:</b>                                                                                                                                                                                   | brady.sushma@gene.com                 |
| <b>Correspondent Name:</b>                                                                                                                                                                      | SUSHMA S. BRADY                       |
| <b>Address Line 1:</b>                                                                                                                                                                          | GENENTECH, INC.                       |
| <b>Address Line 2:</b>                                                                                                                                                                          | 1 DNA WAY                             |
| <b>Address Line 4:</b>                                                                                                                                                                          | SOUTH SAN FRANCISCO, CALIFORNIA 94080 |
| <b>ATTORNEY DOCKET NUMBER:</b>                                                                                                                                                                  | P35036                                |
| <b>NAME OF SUBMITTER:</b>                                                                                                                                                                       | SUSHMA S. BRADY                       |
| <b>SIGNATURE:</b>                                                                                                                                                                               | /Sushma S. Brady/                     |
| <b>DATE SIGNED:</b>                                                                                                                                                                             | 11/13/2019                            |
| <b>Total Attachments: 1</b>                                                                                                                                                                     |                                       |
| source=P35036 Executed Assignment from Pharmaron Inc to Genentech#page1.tif                                                                                                                     |                                       |

## ASSIGNMENT

This assignment is by PHARMARON, INC., a corporation with a mailing address of 201 East Jefferson Street, Louisville, KY 40202, (referred to in this Assignment as "ASSIGNOR"), has full right, title and interest in and to a new and useful invention in

### FUSED RING COMPOUNDS

for which Application Serial No. **PCT/CN2018/114788** filed on **November 9, 2018** (Docket No. **P35036-WO**) for which Letters Patent has been filed by them; and

WHEREAS, GENENTECH, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware, having a place of business at 1 DNA Way, South San Francisco, California 94080-4990, is desirous of acquiring an interest in and to said invention, and in and to the Letters Patents to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern;

That for good and valuable consideration the receipt of which is hereby acknowledged, the undersigned ASSIGNOR(S) have and do hereby sell, assign, transfer and set over unto the said GENENTECH, INC., its successors and assigns, the full and exclusive right, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in and to said invention, and in and to any and all applications filed and Letters Patents granted and issued therefor, or any continuation, division, renewal, or substitute thereof, and as to Letters Patents any reissue or re-examination thereof, not only for, to, and in the United States of America, its territories and possessions, but for, to and in all other countries; and it has been and is hereby authorized and requested that the appropriate government agencies issue said Letters Patents to said GENENTECH, INC., in accordance with this Assignment.

The undersigned ASSIGNOR(S) covenant and agree to cooperate with GENENTECH, INC., to enable said GENENTECH, INC. to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by the undersigned ASSIGNOR(S) includes prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance, all to the extent deemed necessary or desirable by said GENENTECH, INC., (a) for perfecting the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting applications for reissuance of any of said patents; (d) for interference or other priority proceedings involving said invention; and (e) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by the undersigned ASSIGNOR(S) in providing such cooperation shall be paid for by said GENENTECH, INC.

The terms and covenants of this assignment shall inure to the benefit of said GENENTECH, INC., its successors, assigns and other legal representatives, and shall be binding upon the undersigned ASSIGNOR(S), their respective heirs, legal representatives and assigns.

The undersigned ASSIGNOR(S) hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF I/we undersign as follows;

PHARMARON, INC.

Date:

5/30/2019

By:

(name and capacity)

JANE J. ZHANG  
C.Q.O