



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JANUARY 8, 2020

PTAS

SUSHMA S. BRADY
GENENTECH, INC.
1 DNA WAY
SOUTH SAN FRANCISCO, CA 94080

505851633

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT RECORDATION BRANCH OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE ASSIGNMENT RECORDATION BRANCH AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT RECORDATION BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 01/07/2020

REEL/FRAME: 051441/0321
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: P35036

ASSIGNOR:
GENENTECH, INC.

DOC DATE: 11/13/2019

ASSIGNEE:
F. HOFFMANN-LA ROCHE AG
GRENZACHERSTRASSE 124
BASEL, SWITZERLAND 4070

APPLICATION NUMBER: 16679104
PATENT NUMBER:
TITLE: FUSED RING COMPOUNDS

FILING DATE: 11/08/2019
ISSUE DATE:

APPLICATION NUMBER:
PATENT NUMBER:
PCT NUMBER: US2019060578
TITLE: FUSED RING COMPOUNDS

FILING DATE: 11/08/2019
ISSUE DATE:

APPLICATION NUMBER:
PATENT NUMBER:
PCT NUMBER: CN2018114788
TITLE:

FILING DATE:
ISSUE DATE:

ASSIGNMENT RECORDATION BRANCH
PUBLIC RECORDS DIVISION

Send to OC

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

P35036

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
GENENTECH, INC.	11/13/2019
RECEIVING PARTY DATA	
Name:	F. HOFFMANN-LA ROCHE AG
Street Address:	GRENZACHERSTRASSE 124
City:	BASEL
State/Country:	SWITZERLAND
Postal Code:	4070
PROPERTY NUMBERS Total: 3	
Property Type	Number
Application Number:	16679104
PCT Number:	CN2018114788
PCT Number:	US2019060578
CORRESPONDENCE DATA	
Fax Number:	(650)952-9881
Phone:	6504673636
Email:	brady.sushma@gene.com
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Correspondent Name:	SUSHMA S. BRADY
Address Line 1:	GENENTECH, INC.
Address Line 2:	1 DNA WAY
Address Line 4:	SOUTH SAN FRANCISCO, CALIFORNIA 94080
ATTORNEY DOCKET NUMBER:	P35036
NAME OF SUBMITTER:	SUSHMA S. BRADY

Signature:	/Sushma S. Brady/
Date:	01/07/2020
Total Attachments: 4 source=P35036 Executed Assignment II#page1.tif source=P35036 Executed Assignment II#page2.tif source=P35036 Executed Assignment II#page3.tif source=P35036 Executed Assignment II#page4.tif	
RECEIPT INFORMATION EPAS ID: PAT5898570 Receipt Date: 01/07/2020	

ASSIGNMENT II (Small Molecule)

GENENTECH, INC. ("Assignor"), a corporation organized and existing under and by virtue of the laws of the State of Delaware, United States of America, having a place of business at 1 DNA Way, South San Francisco, California 94080-4990, United States of America, for good and valuable consideration the receipt of which is hereby acknowledged, hereby assigns to

F. HOFFMANN-LA ROCHE AG ("Assignee"), a corporation organized and existing under and by virtue of the laws of Switzerland, having its principal place of business at Grenzacherstrasse 124, 4070 Basel, Switzerland,

all of its right, title and interest in and to application(s) in all jurisdictions of the world, except the United States of America and its territories and possessions, (collectively referred as "Ex-US Territories"), claiming priority to any application(s) identified on Exhibit A, and in and to any and all patents granted thereon in Ex-US Territories (including any post-grant amended form), including the right to claim priority to any application(s) identified on Exhibit A ("Assignment").

For clarity, such Assignment includes all right, title and interest in any subsequent application(s) in Ex-US Territories, which subsequent application(s) claim priority to any application(s) identified on Exhibit A, and in and to any and all patents granted thereon (including any post-grant amended form).

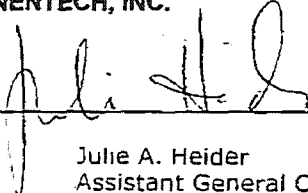
Assignor shall execute any additional assignments and writings as well as to perform any additional acts necessary to perfect the right, title and interest transferred hereunder and to enable Assignee to enjoy the full rights granted hereunder.

This Assignment shall be governed by the substantial laws of Switzerland (excluding its conflicts of law principles).

IN WITNESS WHEREOF on this date of 11/13/2019, the Assignor and Assignee have executed this Assignment and accepted the terms thereof.

South San Francisco, California,
United States of America

GENENTECH, INC.

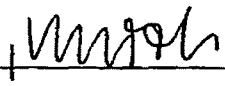
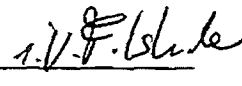
By: 

Julie A. Heider
Assistant General Counsel
Genentech, Inc.
Authorized Corporate Signatory

Basel, Switzerland

26 NOV 2019

F. HOFFMANN-LA ROCHE AG

By:  

TO BE NOTARIZED

Antonio Natoli
Holder of procuration

Funda Iskender
Mandatory

Exhibit A to Assignment II

Docket No.	Title	Serial Number	Filing Date
P35036-WO	FUSED RING COMPOUNDS	PCT/CN2018/114788	11/09/18
P35036-WO-1	FUSED RING COMPOUNDS	PCT/US2019/060578	11/08/19
P35036-US	FUSED RING COMPOUNDS	16/679104	11/08/19

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

CIVIL CODE § 1189

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California)
County of San Mateo)

On NOVEMBER 13, 2019 before me, Sushma Sanganerla-Brady, Notary Public
Date Here Insert Name and Title of the Officer
personally appeared JULIE A. HEIDER
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature Sushma Sanganerla-Brady
Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

Description of Attached Document

Title or Type of Document: _____ Document Date: _____

Number of Pages: _____ Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____

☐ Corporate Officer — Title(s): _____

☐ Partner — ☐ Limited ☐ General

☐ Individual ☐ Attorney in Fact

☐ Trustee ☐ Guardian or Conservator

☐ Other: _____

Signer Is Representing: _____

Signer's Name: _____

☐ Corporate Officer — Title(s): _____

☐ Partner — ☐ Limited ☐ General

☐ Individual ☐ Attorney in Fact

☐ Trustee ☐ Guardian or Conservator

☐ Other: _____

Signer Is Representing: _____

Legalization

The undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Dr. Christoph Nertz, herewith legalizes the overleaf signature of

F. Hoffmann-La Roche AG, a stock company with legal domicile in Basel (Switzerland), rendered by

Mister Antonio Natoli, citizen of and residing in Basel (Switzerland), and

Miss Funda Iskender, citizen of Pratteln (Switzerland), residing in Basel (Switzerland),

both duly entitled to sign documents wherein property of the company is alienated.

Signers and signatures personally known.

B a s e l (Switzerland), this 27th (twenty-seventh) day of November 2019 (two thousand and nineteen).



BR 1405/2019