

Whereas, we have invented certain new and useful improvements entitled:

HEART VALVE SEALING DEVICES AND DELIVERY DEVICES THEREFOR

☒ for which a Patent Cooperation Treaty patent application was filed October 9, 2019 ,
as PCT Application Number PCT/US2019/055320 .

Now therefore, for good and valuable consideration, the receipt and sufficiency whereof are hereby acknowledged, we hereby convey, transfer and assign to Edwards Lifesciences Corporation (hereinafter referred to as "assignee"), a corporation of Delaware, having a principal place of business at One Edwards Way, Irvine, California 92614, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in the above-mentioned invention or improvements, in the above-mentioned Patent Cooperation Treaty patent application, and any and all other patent applications, whether for United States Letters Patent or applications in any other countries which we may file, either solely or jointly with others, on said invention or improvements, including any national stage, divisional, continuation and continuation-in-part applications, and in any and all Letters Patent of the United States or of any other country which may be obtained on any of said applications, and in any reissue, reexam or extension thereof. This includes our entire right, title, and interest, as set forth above, in ROC (Taiwan) Pat. Appln. No. 108136507 and in Chinese application No. 2019216751719. We also affirm that we were under a legal obligation to assign our entire right, title, and interest, as set forth above, to assignee at the time we invented the improvements contained in the Patent Cooperation Treaty patent application and prior to October 8, 2019.

For said good and valuable consideration we hereby agree, upon the request and at the expense of said assignee, its successors, legal representatives and assigns, to execute any and all divisional, continuation, and C-I-P applications for said invention or improvements, and any necessary oath or supplemental oath or affidavit relating thereto, and any application for the reissue, reexam or extension of any Letters Patent that may be granted upon said application that said assignee, its successors, legal representatives and assigns may deem necessary or expedient. For said consideration we further agree, upon the request of said assignee, its successors, legal representatives and assigns, in the event of said application or any division, continuation, or C-I-P thereof, or Letters Patent issued thereon, or any reexam, reissue or application for the reissue thereof becoming involved in interference, to cooperate to the best of our ability with said assignee, its successors, legal representatives and assigns in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof.

We further agree to perform, upon such request, any and all affirmative acts to obtain Letters Patent, and vest all rights therein hereby conveyed in said assignee, its successors, legal representatives and assigns whereby said Letters Patent will be held and enjoyed by said assignee, its successors, legal representatives and assigns to the end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made, including the right to enforce said patent and to sue for and recover damages and all other remedies arising out of any and all past, present and future infringement or violation of said Letters Patent, and for said considerations we hereby also assign to said assignee, its successors, legal representatives and assigns the entire rights, title and interest in

said invention or improvements for any and all foreign countries and the right of priority for patent and utility model applications in all countries arising under any applicable international convention for the protection of industrial property and/or any internal priority legislation of such countries, and we further agree upon the request of said assignee, its successors, legal representatives and assigns to execute any and all documents that shall be required to be executed in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to invest title in said foreign applications and patents in said assignee.

Dated: March 26, 2020Signature: 

Typed Name: **Alex Philip McCann**
 Lake Forest, California

Dated: _____

Signature: _____

Typed Name: **Grant Matthew Stearns**
 Tustin, California

Dated: _____

Signature: _____

Typed Name: **Eric Robert Dixon**
 Villa Park, California

Dated: _____

Signature: _____

Typed Name: **Yoon Hee Kwon**
 Mission Viejo, California

Dated: _____

Signature: _____

Typed Name: **Michael J. Popp**
 Irvine, California

Dated: _____

Signature: _____

Typed Name: **Sergio Delgado**
 Irvine, California

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Dated: _____

Signature: _____

Typed Name: **Alex Philip McCann****Lake Forest, California**Dated: 3 / 20 / 20

Signature: _____

Typed Name: **Grant Matthew Stearns****Tustin, California**

Dated: _____

Signature: _____

Typed Name: **Eric Robert Dixon****Villa Park, California**

Dated: _____

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Typed Name: **Yoon Hee Kwon****Mission Viejo, California**

Dated: _____

Signature: _____

Typed Name: **Michael J. Popp****Irvine, California**

Dated: _____

Signature: _____

Typed Name: **Sergio Delgado****Irvine, California****CONTINUED ON NEXT PAGE**

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Dated: _____ Signature: _____

Typed Name: **Alex Philip McCann**
Lake Forest, California

Dated: _____ Signature: _____

Typed Name: **Grant Matthew Stearns**
Tustin, California

Dated: 03/30/2020 Signature: 

Typed Name: **Eric Robert Dixon**
Villa Park, California

Dated: _____ Signature: _____

Typed Name: **Yoon Hee Kwon**
Mission Viejo, California

Dated: _____ Signature: _____

Typed Name: **Michael J. Popp**
Irvine, California

Dated: _____ Signature: _____

Typed Name: **Sergio Delgado**
Irvine, California

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Dated: _____

Signature: _____

Typed Name: **Alex Philip McCann****Lake Forest, California**

Dated: _____

Signature: _____

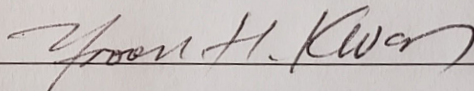
Typed Name: **Grant Matthew Stearns****Tustin, California**

Dated: _____

Signature: _____

Typed Name: **Eric Robert Dixon****Villa Park, California**Dated: ²⁵
3-25-2020

YIK 3-25-20

Signature: Typed Name: **Yoon Hee Kwon****Mission Viejo, California**

Dated: _____

Signature: _____

Typed Name: **Michael J. Popp****Irvine, California**

Dated: _____

Signature: _____

Typed Name: **Sergio Delgado****Irvine, California****CONTINUED ON NEXT PAGE**

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Typed Name: **Alex Philip McCann**
Lake Forest, California

Dated: _____ Signature: _____

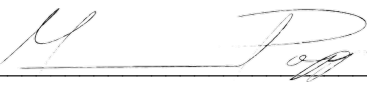
Typed Name: **Grant Matthew Stearns**
Tustin, California

Dated: _____ Signature: _____

Typed Name: **Eric Robert Dixon**
Villa Park, California

Dated: _____ Signature: _____

Typed Name: **Yoon Hee Kwon**
Mission Viejo, California

Dated: 3/19/20 Signature: 

Typed Name: **Michael J. Popp**
Irvine, California

Dated: _____ Signature: _____

Typed Name: **Sergio Delgado**
Irvine, California

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ASSIGNMENT

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Lake Forest, California

Dated: _____ Signature: _____

Typed Name: **Grant Matthew Stearns**
Tustin, California

Dated: _____ Signature: _____

Typed Name: **Eric Robert Dixon**
Villa Park, California

Dated: _____ Signature: _____

Typed Name: **Yoon Hee Kwon**
Mission Viejo, California

Dated: _____ Signature: _____

Typed Name: **Michael J. Popp**
Irvine, California

Dated: April 1, 2020 Signature: 

Typed Name: **Sergio Delgado**
Irvine, California

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Dated: 03/24/2020

Signature: 

Typed Name: **Lauren R. Freschauf**
 Mission Viejo, California

Dated: _____

Signature: _____

Typed Name: **Rachel Ann Gohres**
 Costa Mesa, California

Dated: _____

Signature: _____

Typed Name: **Rachel Liat David Foreman**
 Orange, California

Dated: _____

Signature: _____

Typed Name: **Lauren R. Freschauf**
Mission Viejo, California

Dated: 4/3/2020

Signature: Rachel Agnieszka

Typed Name: **Rachel Ann Gohres**
Costa Mesa, California

Dated: _____

Signature: _____

Typed Name: **Rachel Liat David Foreman**
Orange, California

Dated: _____

Signature: _____

Typed Name: **Lauren R. Freschauf**
Mission Viejo, California

Dated: _____

Signature: _____

Typed Name: **Rachel Ann Gohres**
Costa Mesa, California

Dated: 26 Mar 2020

Signature: 

Typed Name: **Rachel Liat David Foreman**
Orange, California

Considerando que hemos inventado ciertas mejoras nuevas y útiles tituladas

**DISPOSITIVOS DE SELLADO DE VÁLVULAS CARDÍACAS Y
DISPOSITIVOS DE SUMINISTRO DE LOS MISMOS**

☒ para el que se presentó una solicitud de patente del Tratado de Cooperación en materia de Patentes el 9 de octubre de 2019, como solicitud PCT número PCT/US2019/055320.

Por lo tanto, por una buena y valiosa consideración, cuya recepción y suficiencia se reconocen por la presente, transmitimos, transferimos y cedemos a Edwards Lifesciences Corporation (en lo sucesivo denominada "cesionaria"), una sociedad de Delaware, con domicilio social en sede social en One Edwards Way, Irvine, California 92614, sus sucesores, representantes legales y cesionarios, la totalidad de los derechos, títulos e intereses en todo el mundo sobre la invención o mejoras, en la mencionada solicitud de patente del Tratado de Cooperación en materia de Patentes, y en todas y cada una de las todas las demás solicitudes de patentes, ya sean de Títulos de Patentes de los Estados Unidos o solicitudes en cualesquiera otros países que podamos presentar, ya sea solo o conjuntamente con otros, sobre dicha invención o mejoras, incluyendo cualquier etapa nacional, solicitudes divisionales, de continuación y de continuación en parte, y en todas y cada una de los Títulos de Patentes de los Estados Unidos o de cualquier otro país que puedan obtenerse sobre cualquiera de dichas solicitudes, y en cualquier reedición, reexamen o extensión de las mismas. Esto incluye la totalidad de nuestros derechos, títulos e intereses, tal y como se ha indicado anteriormente, en ROC (Taiwan) Pat. Appln. No. 108136507 y en la solicitud china No. 2019216751719. Asimismo, afirmamos que teníamos la

obligación legal de ceder la totalidad de nuestros derechos, títulos e intereses, según lo expuesto anteriormente, al cesionario en el momento en que inventamos las mejoras contenidas en la solicitud de patente del Tratado de Cooperación en materia de Patentes y antes del 8 de octubre de 2019.

Por dicha buena y valiosa consideración, por la presente acordamos, a petición y a expensas de dicho cesionario, sus derechohabientes y cesionarios, firmar cualquier la solicitud y a expensas de dicho cesionario, sus derechohabientes, representantes legales y cesionarios, a firmar todas y cada una de las solicitudes de división, continuación y C-I-P para dicha invención o mejoras, y cualquier juramento o declaración jurada complementaria necesaria en relación con las mismas, y cualquier solicitud de reexpedición, reexamen o extensión de cualquier Título de Patente que pueda ser concedida sobre dicha solicitud que dicho cesionario, sus derechohabientes, representantes legales y cesionarios consideren necesarios o convenientes. Por dicha consideración, aceptamos además, a petición de dicho cesionario, sus derechohabientes, representantes legales y cesionarios, en el caso de que dicha solicitud o cualquier división, continuación, o C-I-P de la misma, o Títulos de Patentes emitidas sobre la misma, o cualquier nuevo examen, nueva emisión o solicitud de nueva emisión de la misma se vea involucrada en una interferencia, cooperar en la medida de nuestras posibilidades con dicho cesionario, sus derechohabientes, representantes legales y cesionarios en las cuestiones de preparación y la firma de la declaración preliminar y la aportación y producción de pruebas en apoyo de la misma.

Asimismo, nos comprometemos a realizar, tras dicha solicitud, todos y cada uno de los actos afirmativos para obtener los Títulos de Patente, y conferir todos los derechos aquí transmitidos a dicho cesionario, sus derechohabientes, representantes legales y cesionarios, por lo que dichos Títulos de Patente serán

mantenidas y disfrutadas por dicho cesionario, sus derechohabientes, representantes legales y cesionarios hasta el final del plazo por el que dichas Cartas Patentes de la misma manera que la habríamos tenido y disfrutado nosotros si no se hubiera hecho esta cesión y venta no se hubiera realizado, incluyendo el derecho a hacer valer dicha patente y a demandar y recuperar los daños y perjuicios y todos los demás recursos derivados de cualquier infracción o violación pasada, presente y futura de dichos Títulos de Patentes, y por dichas consideraciones cedemos también a dicho cesionario, sus sucesores, representantes legales y cesionarios la totalidad de los derechos, títulos e intereses sobre dicha invención o mejoras para todos y cada uno de los países extranjeros y el derecho de prioridad para las solicitudes de patentes y de modelo de utilidad en todos los países que se deriven de cualquier convenio internacional aplicable para la protección de la propiedad industrial y/o cualquier legislación interna de prioridad de dichos países, y aceptamos además, a petición de dicho cesionario, sus derechohabientes, representantes legales y cesionarios a firmar todos los documentos que se requieran en relación con todas y cada una de todas las solicitudes de Títulos de Patentes extranjeras, incluyendo la tramitación de las mismas, y a firmar todos los documentos necesarios para invertir el título de dichas solicitudes y patentes extranjeras en dicho cesionario.

Fechado: 3 / 26 / 2020 Firma: (Firma Manuscrita – Ilegible)
Nombre en Imprenta: Alex Phillip Mc Cann
Lake Forest, California

Fechado: 3 / 20 / 2020 Firma: (Firma Manuscrita – Ilegible)
Nombre en Imprenta: Grant Matthew Stearns
Tustin, California

Fechado: <u>3 / 30 / 2020</u>	Firma: (Firma Manuscrita – Ilegible) Nombre en Imprenta: Eric Robert Dixon Villa Park, California
Fechado: <u>3 / 25 / 2020</u>	Firma: (Firma Manuscrita – Ilegible) Nombre en Imprenta: Yoon Hee Kwon Mission Viejo, California
Fechado: <u>3 / 19 / 2020</u>	Firma: (Firma Manuscrita – Ilegible) Nombre en Imprenta: Michael J. Popp Irvine, California
Fechado: <u>Abril 1/2020</u>	Firma: (Firma Manuscrita – Ilegible) Nombre en Imprenta: Sergio Delgado Irvine, California
Fechado: <u>3 / 24 / 2020</u>	Firma: (Firma Manuscrita – Ilegible) Nombre en Imprenta: Lauren R. Freschauf Mission Viejo, California
Fechado: <u>4 / 3 / 2020</u>	Firma: (Firma Manuscrita – Ilegible) Nombre en Imprenta: Rachel Ann Gohres Costa Mesa, California
Fechado: <u>26 / 3 / 2020</u>	Firma: (Firma Manuscrita – Ilegible) Nombre en Imprenta: Rachel Liat David Foreman Orange, California