



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 27, 2019

PTAS

WOMBLE BOND DICKINSON (US) LLP
P.O. BOX 7037
IP DOCKET DEPARTMENT
ATLANTA, GA 30357-0037

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UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: S69697 1510US.P1

ASSIGNOR:
LIU, DAVID

DOC DATE: 05/21/2019

ASSIGNOR:
GAVRILYUK, JULIA

DOC DATE: 05/17/2019

ASSIGNOR:
SCHAMMEL, ALEXANDER

DOC DATE: 05/17/2019

ASSIGNEE:
ABBVIE STEMCENTRX LLC
1 NORTH WAUKEGAN ROAD
NORTH CHICAGO, ILLINOIS 60064

APPLICATION NUMBER: 62678061

FILING DATE: 05/30/2018

PATENT NUMBER:

ISSUE DATE:

TITLE: ANTI-SEZ6 ANTIBODY DRUG CONJUGATES AND METHODS OF USE

ASSIGNMENT RECORDATION BRANCH
PUBLIC RECORDS DIVISION

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1

Stylesheet Version v1.2

SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>DAVID LIU</td><td>05/21/2019</td></tr><tr><td>JULIA GAVRILYUK</td><td>05/17/2019</td></tr><tr><td>ALEXANDER SCHAMMEL</td><td>05/17/2019</td></tr></tbody></table>	Name	Execution Date	DAVID LIU	05/21/2019	JULIA GAVRILYUK	05/17/2019	ALEXANDER SCHAMMEL	05/17/2019			
Name	Execution Date										
DAVID LIU	05/21/2019										
JULIA GAVRILYUK	05/17/2019										
ALEXANDER SCHAMMEL	05/17/2019										
RECEIVING PARTY DATA											
<table border="1"><tr><td>Name:</td><td>ABBVIE STEMCENTRX LLC</td></tr><tr><td>Street Address:</td><td>1 NORTH WAUKEGAN ROAD</td></tr><tr><td>City:</td><td>NORTH CHICAGO</td></tr><tr><td>State/Country:</td><td>ILLINOIS</td></tr><tr><td>Postal Code:</td><td>60064</td></tr></table>	Name:	ABBVIE STEMCENTRX LLC	Street Address:	1 NORTH WAUKEGAN ROAD	City:	NORTH CHICAGO	State/Country:	ILLINOIS	Postal Code:	60064	
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PROPERTY NUMBERS Total: 1											
<table border="1"><thead><tr><th>Property Type</th><th>Number</th></tr></thead><tbody><tr><td>Application Number:</td><td>62678061</td></tr></tbody></table>	Property Type	Number	Application Number:	62678061							
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Application Number:	62678061										
CORRESPONDENCE DATA											
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Email: tasha.wilson@wbd-us.com											
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Address Line 2: IP DOCKET DEPARTMENT											
Address Line 4: ATLANTA, GEORGIA 30357-0037											
ATTORNEY DOCKET NUMBER:	S69697 1510US.P1										
NAME OF SUBMITTER:	JACOB D. MOORE, PH.D.										
Signature:	/jacob d moore/										
Date:	05/24/2019										

Total Attachments: 4

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RECEIPT INFORMATION

EPAS ID: PAT5540323
Receipt Date: 05/24/2019

ASSIGNMENT FOR PROVISIONAL APPLICATION

WHEREAS, we, the undersigned,

David LIU, resident of **495 Loma Vista Terrace, Pacifica, California 94044**;
Julia GAVRILYUK, resident of **400 East Jamie Court, South San Francisco, California 94080**;
Alexander SCHAMMEL, resident of **25 Glendale Street, Apt. 3, San Francisco, California 94114**;

have invented certain new and useful improvements in "**ANTI-SEZ6 ANTIBODY DRUG CONJUGATES AND METHODS OF USE**" and have filed a provisional U.S. patent application therefor, Application No. **62/678,061**, filing date of **May 30, 2018**, and

WHEREAS, **AbbVie Stemcentrx LLC** (hereinafter termed "Assignee"), a limited liability company organized and existing under the laws of the State of Delaware, having its registered office at **1 North Waukegan Road, North Chicago, Illinois 60064 USA**, desires to acquire the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by us (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration, receipt and sufficiency of which is acknowledged by us to have been received in full from said Assignee:

1. We do hereby sell, assign, transfer and convey unto said Assignee, and/or confirm prior assignment, transfer, or conveyance unto said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.

2. We hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right title and interest herein conveyed in the United States and foreign countries. Such cooperation by us shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by us in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon us, our respective heirs, legal representatives and assigns.

4. We hereby warrant and represent that we have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, we have executed and delivered this instrument to said Assignee.

Date 21 May 2019

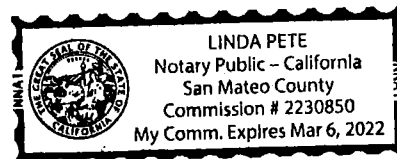
By: 
DAVID LIU

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California _____,))
County of San Mateo _____,) SS.

On this 21 day of May, in the year 2019, before me, Linda Pete, Notary Public, personally appeared David Liu, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument, the person or the entity upon behalf of which the person acted, executed the instrument. I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature _____

Linda Pete

(Notary Public)

(Seal)

Date 05.17.2019

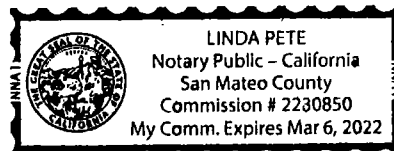
By: *Julia Gavriluk*
JULIA GAVRILYUK

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California _____,)
County of San Mateo _____,) SS.

On this 17th day of May, in the year 2019, before me, Linda Pete, Notary Public, personally appeared Julia Gavriluk, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument, the person or the entity upon behalf of which the person acted, executed the instrument. I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

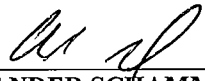
WITNESS my hand and official seal.



Signature *Linda Pete*
(Notary Public)

(Seal)

Date 5/17/2019

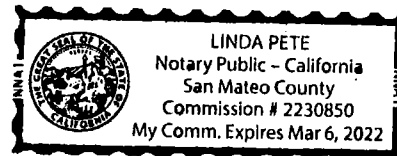
By: 
ALEXANDER SCHAMMEL

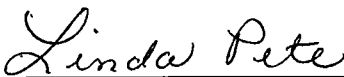
A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California _____.)
_____.) SS.
County of San Mateo _____.)

On this 17 day of May, in the year 2019, before me, Linda Pete, Notary Public, personally appeared Alexander Schammel, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument, the person or the entity upon behalf of which the person acted, executed the instrument. I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature 
(Notary Public)

(Seal)