



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

DECEMBER 16, 2019

PTAS

AMGEN INC.
ONE AMGEN CENTER DRIVE, M/S 28-5-A
LAW DEPARTMENT - PATENT OPERATIONS
THOUSAND OAKS, CA 91320-1799

505820882

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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RECORDATION DATE: 12/13/2019

REEL/FRAME: 051280/0645
NUMBER OF PAGES: 19

BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: A-2300-US-PSP

ASSIGNOR:

TAMAYO, NURIA A

DOC DATE: 12/09/2019

ASSIGNOR:

BANERJEE, ABHISEK

DOC DATE: 12/10/2019

ASSIGNOR:

BROWN, JAMES ALEXANDER

DOC DATE: 12/12/2019

ASSIGNOR:

FROHN, MICHAEL J

DOC DATE: 12/06/2019

ASSIGNOR:

CHEN, JIAN JEFFREY

DOC DATE: 12/06/2019

ASSIGNOR:

LI, KEXUE

DOC DATE: 12/09/2019

ASSIGNOR:

LIU, QINGYIAN

DOC DATE: 12/10/2019

ASSIGNOR:
LOW, JONATHAN DANTE

DOC DATE: 12/06/2019

ASSIGNOR:
MA, VU

DOC DATE: 12/09/2019

ASSIGNOR:
PETTUS, LIPING H

DOC DATE: 12/09/2019

ASSIGNOR:
WALTON, MARY CATHERINE

DOC DATE: 12/10/2019

ASSIGNOR:
MINATTI, ANA ELENA

DOC DATE: 12/11/2019

ASSIGNOR:
BOURBEAU, MATTHEW PAUL

DOC DATE: 12/09/2019

ASSIGNOR:
JIA, LEI

DOC DATE: 12/10/2019

ASSIGNEE:
AMGEN INC.
ONE AMGEN CENTER DRIVE
THOUSAND OAKS, CALIFORNIA 91320-1799

APPLICATION NUMBER: 62783061
PATENT NUMBER:
TITLE: KIF18A INHIBITORS

FILING DATE: 12/20/2018
ISSUE DATE:

ASSIGNMENT RECORDATION BRANCH
PUBLIC RECORDS DIVISION

ASSIGNMENT

Nuria A. TAMAYO, c/o Amgen Inc., One Amgen Center Drive, Thousand
Whereas, I/we, Oaks, California 91320-1799 US

Abhisek BANERJEE, c/o Amgen Inc., One Amgen Center Drive, Thousand Oaks, California
91320-1799 US

James Alexander BROWN, c/o Amgen Inc., One Amgen Center Drive, Thousand Oaks,
California 91320-1799 US

Michael J. FROHN, c/o Amgen Inc., One Amgen Center Drive, Thousand Oaks, California
91320-1799 US

Jian Jeffrey CHEN, c/o Amgen Inc., One Amgen Center Drive, Thousand Oaks, California
91320-1799 US

Kexue LI, c/o Amgen Inc., One Amgen Center Drive, Thousand Oaks, California 91320-1799
US

Qingyian LIU, c/o Amgen Inc., One Amgen Center Drive, Thousand Oaks, California
91320-1799 US

Jonathan Dante LOW, c/o Amgen Inc., One Amgen Center Drive, Thousand Oaks, California
91320-1799 US

Vu MA, c/o Amgen Inc., One Amgen Center Drive, Thousand Oaks, California 91320-1799 US

Liping H. PETTUS, c/o Amgen Inc., One Amgen Center Drive, Thousand Oaks, California
91320-1799 US

Mary Catherine WALTON, c/o Amgen Inc., One Amgen Center Drive, Thousand Oaks,
California 91320-1799 US

Ana Elena MINATTI, c/o Amgen Inc., One Amgen Center Drive, Thousand Oaks, California
91320-1799 US

Matthew Paul BOURBEAU, c/o Amgen Inc., One Amgen Center Drive, Thousand Oaks,
California 91320-1799 US

Lei JIA, c/o Amgen Inc., One Amgen Center Drive, Thousand Oaks, California 91320-1799 US

(hereinafter referred to as ASSIGNOR), have made an invention which is the subject of an application for Letters Patent of the United States; and/or is the subject of a provisional application; and/or is the subject of an international application; and/or is the subject of other patent application(s) in other jurisdictions worldwide ("Application") entitled

KIF18A INHIBITORS

which is found in:

☒ US Provisional Application Serial No. 62/783,061 filed December 20, 2018.

Whereas AMGEN INC., a Delaware corporation having its principal place of business at One Amgen Center Drive, Thousand Oaks, California 91320-1799 wishes to acquire the entire right, title, and interest in all inventions disclosed in such Application;

Now, therefore, in consideration of the sum of one dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells, assigns, transfers and sets over unto AMGEN INC., its successors and assigns (collectively "ASSIGNEE") ASSIGNOR'S entire right, title and interest in, to and under the Application, and any provisional application(s) from which Application is derived, and all priority rights to which the Application may be entitled, including all priority rights for other countries arising therefrom, all inventions therein disclosed, and any and all Letters Patent of the United States and of all other countries which may be granted for such inventions, or any of them, including divisional, continuation, continuation-in-part, substitute, reissue, and/or reexamination applications based on the above identified Application, all such inventions and all rights in such Application and Letters Patent to be held and enjoyed by ASSIGNEE for its own use and enjoyment to the full end of the term or terms for which such Letters Patent may be granted, and any extensions thereof, as fully and entirely as the same would have been held and enjoyed by ASSIGNOR had this assignment and sale not been made.

Further, ASSIGNOR hereby sells, assigns, transfers, and sets over unto ASSIGNEE ASSIGNOR'S entire right, title and interest in or to the right to refer to, to access, and to control microorganism(s) or other biological material(s) related to the Application and which are deposited by ASSIGNOR or at ASSIGNOR'S direction or deposited on ASSIGNOR'S behalf by ASSIGNEE, its affiliates, employees, or employees of its affiliates. The transfer of such right, title and interest includes, without limitation, ASSIGNOR'S unreserved and irrevocable consent and authorization to ASSIGNEE to refer to the deposited microorganism(s) or other biological material(s) in the Application and the right to make available to the public the deposited material in accordance with the laws, treaties, statutes, rules, regulations and the like of the United States, all other countries, and any patent granting authority or organization.

ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks of the United States and any foreign Patent Office authority to issue any such Letters Patent which may be granted on the Application to ASSIGNEE as assignee of the entire right, title and interest therein and thereto.

For ASSIGNOR and for ASSIGNOR'S legal representatives, ASSIGNOR covenants and agrees with ASSIGNEE that ASSIGNOR has not granted to any others any license to make, use or sell any of such inventions, that ASSIGNOR'S right, title and interest in such inventions has not been encumbered, that ASSIGNOR has good right and title to sell and assign the same, and that ASSIGNOR will not execute any instrument in conflict herewith.

For ASSIGNOR and for ASSIGNOR'S heirs, successors and legal representatives, ASSIGNOR further covenants and agrees with ASSIGNEE that upon request ASSIGNOR and ASSIGNOR'S heirs, successors and legal representatives will: (i) execute continuing, divisional, substitute, reexamination, and/or reissue applications, amended specifications, or rightful declarations or oaths; (ii) communicate to ASSIGNEE any facts known to ASSIGNOR or ASSIGNOR'S heirs, successors and legal representatives relating to such inventions or the history thereof; (iii) execute preliminary statements and testify in any interference proceedings or litigation; (iv) execute and deliver any application papers, assignments, or other instruments; and (v) do all other acts which, in the opinion of counsel for ASSIGNEE, may be necessary or desirable

to secure the grant of Letters Patent to ASSIGNEE or its nominees, in the United States and in all other countries where ASSIGNEE may desire to have such inventions, or any of them, patented, with specifications and claims in such form as shall be approved by counsel for ASSIGNEE and to vest and confirm in ASSIGNEE or its nominees the full and complete legal and equitable title to all such Letters Patent, without further consideration than that now paid at the expense of ASSIGNEE.

DECLARATION OF ACCEPTANCE

On behalf of Assignee,

Stuart L. Watt

Name

Vice President, Law and Intellectual Property
Officer

Title

hereby confirms Assignee's acceptance.

Dec. 13, 2019

Date



Signature

In Witness Whereof I have executed this assignment on 12/09 2019.
(Month, Day) (Year)

Nuria A. TAMAYO
(Name of Inventor)


(Signature of Inventor)

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

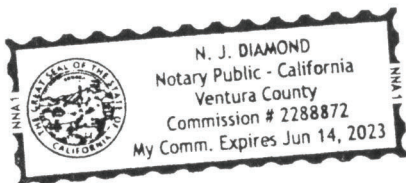
State of California

County of Ventura

}

On Dec. 9, 2019 before me, N. J. Diamond,
Notary Public, personally appeared

Nuria A. TAMAYO



who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Place Notary Seal Above

Signature: 

In Witness Whereof I have executed this assignment on 10, DEC. 2019.
(Day, Month) (Year)

Abhisek BANERJEE
(Name of Inventor)

Abhisek Banerjee
(Signature of Inventor)

Place of Execution: Bangalore, India.

Witness 1:

Signature: Atukothari

Date: 10th Dec 2019

Name: Abhishek Kothari

Address: Biocon Park.

City: Bangalore

Country: India.

Witness 2:

Signature: [Signature]

Date: 10 Dec 2019

Name: Prashant Kumar

Address: Biocon Park

City: Bangalore

Country: India

In Witness Whereof I have executed this assignment on DEC 12 2019.
(Month, Day) (Year)

James Alexander BROWN
(Name of Inventor)

[Signature]
(Signature of Inventor)

COMMONWEALTH OF MASSACHUSETTS

County of Middlesex ss.

On this the 12 day of December, 2019, before me,
Day Month Year

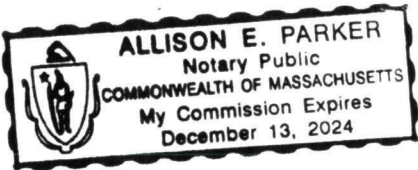
Allison E. Parker, the undersigned Notary Public,
Name of Notary Public

personally appeared James Alexander BROWN
Name(s) of Signer(s)

proved to me through satisfactory evidence of identity, which was/were _____

Massachusetts Drivers License, to be the person(s)
Description of Evidence of Identity

whose name(s) is/are signed on the preceding or attached document, and acknowledged to me
that he/she/they signed it voluntarily for its stated purpose.



Allison E. Parker
Signature of Notary Public

Allison E. Parker
Printed Name of Notary Public

My Commission Expires: 12/13/24

In Witness Whereof I have executed this assignment on December 6th 2019.
(Month, Day) (Year)

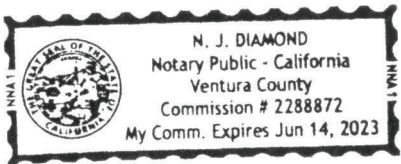
Michael J. FROHN *Michael J. Frohn*
(Name of Inventor) (Signature of Inventor)

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California }
County of Ventura }

On Dec 6, 2019 before me, N. J. Diamond,
Notary Public, personally appeared

Michael J. FROHN



who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

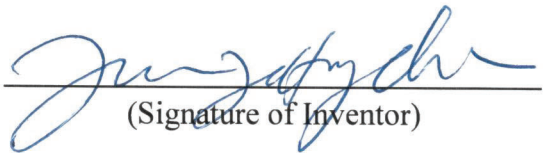
WITNESS my hand and official seal.

Place Notary Seal Above

Signature: *N. J. Diamond*

In Witness Whereof I have executed this assignment on Dec 6, 2019.
(Month, Day) (Year)

Jian Jeffrey CHEN
(Name of Inventor)

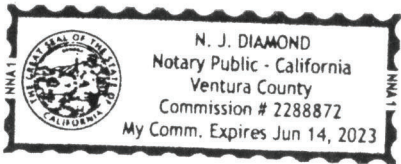

(Signature of Inventor)

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State of California }
County of Ventura }

On Dec. 6, 2019 before me, N. J. Diamond,
Notary Public, personally appeared

Jian Jeffrey CHEN

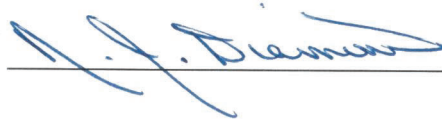


who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Place Notary Seal Above

Signature: 

In Witness Whereof I have executed this assignment on 12/09/2019 ^{KL} 2019.
(Month, Day) (Year)

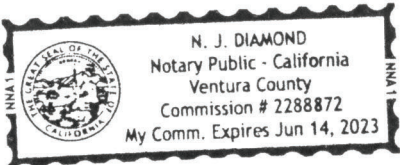
Kexue LI [Signature]
(Name of Inventor) (Signature of Inventor)

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California }
County of Ventura

On Dec. 9, 2019 before me, N. J. Diamond,
Notary Public, personally appeared

Kexue LI



who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Place Notary Seal Above

Signature: [Signature]

In Witness Whereof I have executed this assignment on Dec. 10 2019.
(Month, Day) (Year)

Qingyian LIU
(Name of Inventor)

[Signature]
(Signature of Inventor)

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

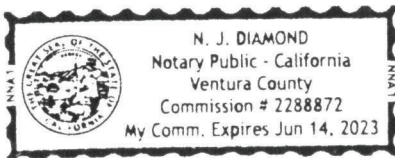
State of California

County of Ventura

}

On Dec. 10, 2019 before me, N. J. Diamond,
Notary Public, personally appeared

Qingyian LIU



who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

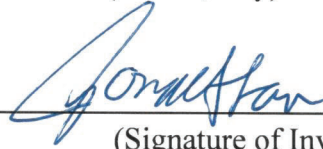
WITNESS my hand and official seal.

Place Notary Seal Above

Signature: [Signature]

In Witness Whereof I have executed this assignment on 12 / 6 20 19.
(Month, Day) (Year)

Jonathan Dante LOW
(Name of Inventor)


(Signature of Inventor)

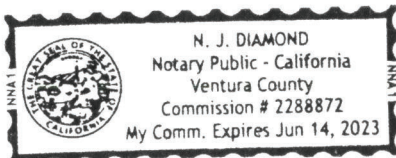
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State of California

County of Ventura }

On Dec. 6, 2019 before me, N. J. Diamond,
Notary Public, personally appeared

Jonathan Dante LOW

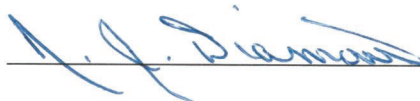


who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Place Notary Seal Above

Signature: 

In Witness Whereof I have executed this assignment on 12/9 2019.
(Month, Day) (Year)

Vu MA
(Name of Inventor)

[Signature]
(Signature of Inventor)

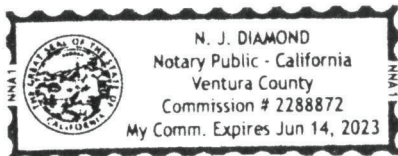
A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

County of Ventura }

On Dec 9, 2019 before me, N. J. Diamond,
Notary Public, personally appeared

Vu MA



who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

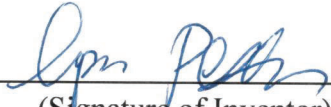
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Place Notary Seal Above

Signature: [Signature]

In Witness Whereof I have executed this assignment on Dec, 9 2019.
(Month, Day) (Year)

Liping H. PETTUS 
(Name of Inventor) (Signature of Inventor)

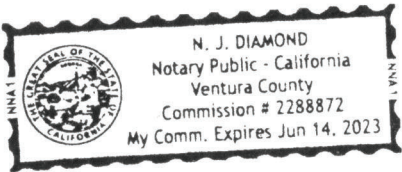
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State of California }
County of Ventura }

On Dec. 9, 2019 before me, N. J. Diamond,
Notary Public, personally appeared

Liping H. PETTUS

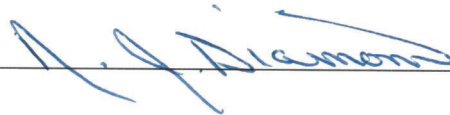
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Place Notary Seal Above

Signature: 

In Witness Whereof I have executed this assignment on December 10 20 19.
(Month, Day) (Year)

Mary Catherine WALTON
(Name of Inventor)

[Signature]
(Signature of Inventor)

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

County of San Mateo }

On December 10, 2019 before me, Felicia Soria,

Notary Public, personally appeared

Mary Catherine WALTON

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/~~are~~ subscribed to the within instrument and acknowledged to me that ~~he~~/she/~~they~~ executed the same in ~~his~~/her/~~their~~ authorized capacity(~~ies~~), and that by ~~his~~/her/~~their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Place Notary Seal Above

Signature: Felicia Soria

In Witness Whereof I have executed this assignment on December, 11th 2019.
(Month, Day) (Year)

Ana Elena MINATTI Ale Minatti
(Name of Inventor) (Signature of Inventor)

Place of Execution: Copenhagen, Denmark

Witness 1:

Signature: [Signature]

Date: Dec. 11. 2019

Name: THOMAS FRANCH

Address: TJØRNEVEJ 26

City: 3070 SNEUKERSTEN

Country: DENMARK

Witness 2:

Signature: [Signature]

Date: Dec. 11. 2019

Name: BIRGITTE HUSEMOEN

Address: CASTBERGSVEJ 12

City: 2500 VALBY

Country: DENMARK

In Witness Whereof I have executed this assignment on 12 / 9 2019.
(Month, Day) (Year)

Matthew Paul BOURBEAU

(Name of Inventor)



(Signature of Inventor)

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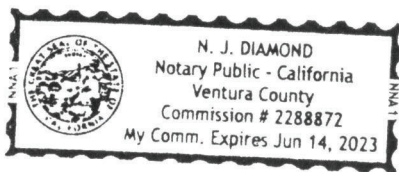
State of California

County of Ventura }

On Dec. 9, 2019 before me, N. J. Diamond,

Notary Public, personally appeared

Matthew Paul BOURBEAU



who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Place Notary Seal Above

Signature: N. J. Diamond

In Witness Whereof I have executed this assignment on Dec. 10 2019.
(Month, Day) (Year)

Lei JIA
(Name of Inventor)

[Signature]
(Signature of Inventor)

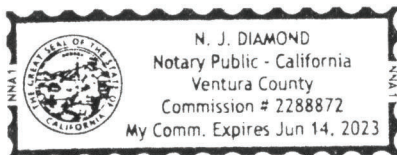
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State of California

County of Ventura }

On Dec. 10, 2019 before me, N. J. Diamond,
Notary Public, personally appeared

Lei JIA



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I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Place Notary Seal Above

Signature: [Signature]

A-2300-WO-PCT

6/8

PCT REQUEST

(Original in Electronic Form)

VIII-2-1	Declaration: Entitlement to apply for and be granted a patent Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent (Rules 4.17(ii) and 51bis.1(a)(ii)), in a case where the declaration under Rule 4.17(iv) is not appropriate: Name (LAST, First)	In relation to this international application AMGEN INC. is entitled to apply for and be granted a patent by virtue of the following:
VIII-2-1 (iv)		an assignment from TAMAYO, Nuria A. to AMGEN INC., dated 09 December 2019 (09.12.2019)
VIII-2-1 (iv)		an assignment from BANERJEE, Abhisek to AMGEN INC., dated 10 December 2019 (10.12.2019)
VIII-2-1 (iv)		an assignment from BROWN, James Alexander to AMGEN INC., dated 12 December 2019 (12.12.2019)
VIII-2-1 (iv)		an assignment from FROHN, Michael J. to AMGEN INC., dated 06 December 2019 (06.12.2019)
VIII-2-1 (iv)		an assignment from CHEN, Jian Jeffrey to AMGEN INC., dated 06 December 2019 (06.12.2019)
VIII-2-1 (iv)		an assignment from LI, Kexue to AMGEN INC., dated 09 December 2019 (09.12.2019)
VIII-2-1 (iv)		an assignment from LIU, Qingyian to AMGEN INC., dated 10 December 2019 (10.12.2019)
VIII-2-1 (iv)		an assignment from LOW, Jonathan Dante to AMGEN INC., dated 06 December 2019 (06.12.2019)
VIII-2-1 (iv)		an assignment from MA, Vu to AMGEN INC., dated 09 December 2019 (09.12.2019)
VIII-2-1 (iv)		an assignment from PETTUS, Liping H. to AMGEN INC., dated 09 December 2019 (09.12.2019)
VIII-2-1 (iv)		an assignment from WALTON, Mary Catherine to AMGEN INC., dated 10 December 2019 (10.12.2019)
VIII-2-1 (iv)		an assignment from MINATTI, Ana Elena to AMGEN INC., dated 11 December 2019 (11.12.2019)
VIII-2-1 (iv)		an assignment from BOURBEAU, Matthew Paul to AMGEN INC., dated 09 December 2019 (09.12.2019)
VIII-2-1 (iv)		an assignment from JIA, Lei to AMGEN INC., dated 10 December 2019 (10.12.2019)