

2020/49694

SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO

DIRECCIÓN DE NUEVAS CREACIONES

E. S. D.

Ref.: Expediente No. **NC2020/0015168**Solicitud de patente de invención a nombre de **BOARD OF REGENTS, THE UNIVERSITY OF TEXAS SYSTEM**

1. Yo, CAROLINA DAZA MONTALVO, identificada como aparece al pie de mi firma, domiciliada en la Carrera 11 No. 86-53, piso 3°, obrando como apoderada de la sociedad solicitante de la patente de invención de la referencia, **BOARD OF REGENTS, THE UNIVERSITY OF TEXAS SYSTEM**, en respuesta al Oficio N° 5410 de 16 de abril de 2021, atentamente me permito presentar el siguiente documento:
 - 1.1. **Poder:** Conforme a lo señalado por la SIC en la resolución 36474 del 15 de junio de 2021, por la cual se resolvió la solicitud de revocatoria directa del oficio 5410 de 16 de abril de 2021, el poder conferido a la suscrita, conforme a lo exigido en el literal f del artículo 26 de la Decisión Andina 486 de 2000, ya obra en el expediente.
 - 1.2. **Cesión:** Conforme a lo solicitado por el Examinador, presento con este escrito el documento de cesión debidamente firmado por todos los inventores, acreditando así el derecho del aplicante como cesionario de la invención, de acuerdo con lo exigido en el literal k del artículo 26 de la Decisión Andina 486 de 2000.
2. Habiendo dado cumplimiento al requerimiento de ese Despacho, solicito respetuosamente se continúe con el trámite de la presente solicitud.

Señora Directora,

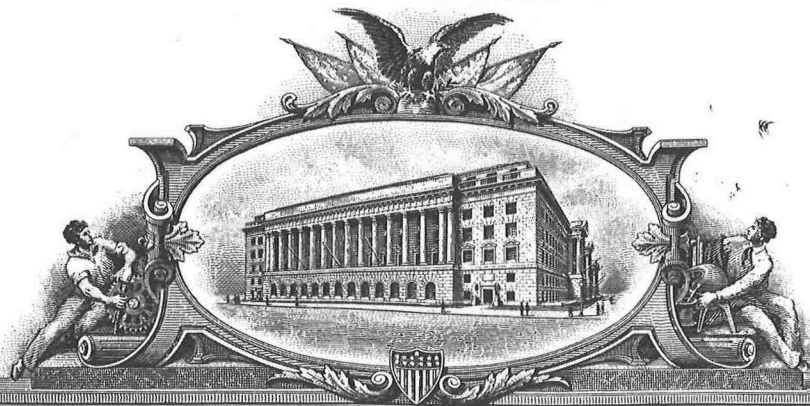

CAROLINA DAZA MONTALVO

C.C. 66.783.790 de Palmira Valle

TP-141563 CSJ

notificaciones@clarkemodet.com.co

A 8121661



THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

UNITED STATES DEPARTMENT OF COMMERCE

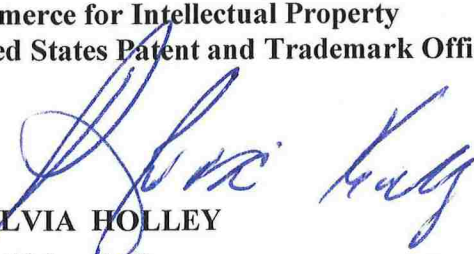
United States Patent and Trademark Office

June 30, 2021

**THIS IS TO CERTIFY THAT ANNEXED IS A TRUE COPY FROM THE
RECORDS OF THIS OFFICE OF A DOCUMENT RECORDED ON
JULY 14, 2018.**

By Authority of the

**Under Secretary of Commerce for Intellectual Property
and Director of the United States Patent and Trademark Office**


SYLVIA HOLLEY
Certifying Officer



PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT5050498

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
MAY DAHER	06/20/2018
RAFET BASAR	06/20/2018
ELIZABETH SHPALL	06/20/2018
KATY REZVANI	06/20/2018
RECEIVING PARTY DATA	
Name:	BOARD OF REGENTS, THE UNIVERSITY OF TEXAS SYSTEM
Street Address:	210 WEST 7TH STREET
City:	AUSTIN
State/Country:	TEXAS
Postal Code:	78701
PROPERTY NUMBERS Total: 2	
Property Type	Number
Application Number:	62666665
Application Number:	62666965
CORRESPONDENCE DATA	
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<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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Address Line 2:	BUILDING ONE, SUITE 200
Address Line 4:	AUSTIN, TEXAS 78746
ATTORNEY DOCKET NUMBER:	UTSC.P1366US.P1 AND P2
NAME OF SUBMITTER:	JENNIFER NORMAN-WOLFE
SIGNATURE:	/Jennifer Norman-Wolfe/
DATE SIGNED:	07/14/2018
Total Attachments: 5	

source=UTSC.P1366US.P1 and UTSC.P1366US.P2 - Executed Assignment (00566934xCCC41)#page1.tif
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ASSIGNMENT

We, May DAHER, Rafet BASAR, Elizabeth SHPALL, and Katy REZVANI, have invented **NATURAL KILLER CELLS ENGINEERED TO EXPRESS CHIMERIC ANTIGEN RECEPTORS WITH IMMUNE CHECKPOINT BLOCKADE**. This is an Assignment of the following rights, title and interest (check all that apply):

- ☒ United States of America rights, title and interest in the invention
- ☒ Foreign rights, title and interest in the invention
- ☐ An application to the Commissioner for Patents of the United States of America filed herewith
- ☒ United States Provisional Patent Application Serial Nos. 62/666,665, filed on May 3, 2018, and 62/666,965, filed on May 4, 2018
- ☐ United States Patent Application Serial No. _____ filed on _____
- ☐ United States Patent No(s). _____
- ☐ International (PCT) Patent Application Serial No. _____ filed on _____
- ☐ Other (specify): _____

We were employed by The University of Texas M. D. Anderson Cancer Center, a State Institution of Higher Education established under the laws of the State of Texas within The University of Texas System, at the time the invention was made. The conditions under which said invention was made are such that the Board of Regents, The University of Texas System (hereinafter SYSTEM), having a place of business at 210 West 7th St., Austin, TX 78701, owns the entire right, title, and interest in the invention, in the United States and all other countries throughout the world.

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we, the undersigned, have voluntarily sold, assigned, and transferred and do voluntarily sell, assign, and transfer to SYSTEM, and successors and assigns, our full and exclusive right, title and interest in and to the invention(s) disclosed in said application throughout the world, including the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in said application. This includes an assignment of all Letters Patent that may be granted on the invention in the United States of America and all countries throughout the world, and any divisional, renewal, continuation in whole or in part, substitution, conversion, reexamination, reissue, prolongation or extension thereof; and the right to claim priority from the patent application as provided for by United States law, the Patent Cooperation Treaty, the European Patent Convention, the Paris Convention, or other applicable law.

We have authorized SYSTEM to file and prosecute this patent application, as well as any corresponding international or national applications that claim priority from it. SYSTEM has the right to select attorneys or agents of its choice to prosecute at its discretion these applications on its behalf. We authorize and request the issuance of said Letters Patent to SYSTEM, as assignee of the entire right, title, and interest to be held as fully and entirely as the same would have been held by us had this assignment not been made.

We warrant that there are no outstanding assignments, grants, liens, encumbrances, or agreements either written, oral, or implied that will impair, diminish, limit, or abridge the interest

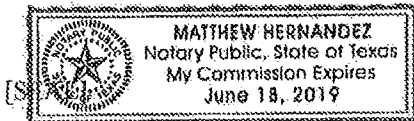
{00558032}

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 6.20.2018
STATE OF Texas)
COUNTY OF Harris) ss.

Rafet BASAR
Rafet BASAR

This 20th day of June 2018 before me personally came the above-named Rafet BASAR, who executed the foregoing Assignment in my presence, and who acknowledged to me that it was executed under that person's own free will for the purposes set forth therein.



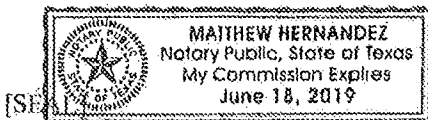
Matthew Hernandez
Notary Public for Texas
My commission expires: June 18, 2019

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 6-20-2018
STATE OF Texas)
COUNTY OF Harris) ss.

Elizabeth SHPALL
Elizabeth SHPALL

This 20th day of June 2018 before me personally came the above-named Elizabeth SHPALL, who executed the foregoing Assignment in my presence, and who acknowledged to me that it was executed under that person's own free will for the purposes set forth therein.



Matthew Hernandez
Notary Public for Texas
My commission expires: June 18, 2019

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 6-20-2018

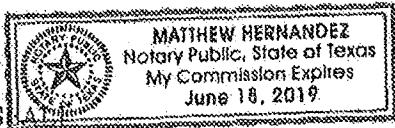
STATE OF Texas

COUNTY OF Harris

) ss.

Katy Rezvani
Katy REZVANI

This 20th day of June, 2018, before me personally came the above-named Katy REZVANI, who executed the foregoing Assignment in my presence, and who acknowledged to me that it was executed under that person's own free will for the purposes set forth therein.



Matthew Hernandez
Notary Public for Texas

My commission expires: June 18, 2019

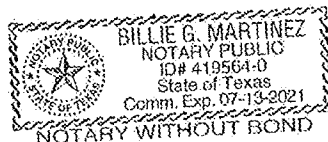
IN TESTIMONY WHEREOF, a representative of **SYSTEM** has signed their name on the date indicated, acknowledging acceptance of the transfer.

Dated: July 10, 2018 BethLynn Maxwell
STATE OF Texas Assignee: Board of Regents, The University of Texas System
COUNTY OF Travis Name of representative: BethLynn Maxwell
Title: Associate General Counsel

This 10th day of July 2018 before me personally came the above-named BethLynn Maxwell, who executed the foregoing Assignment in my presence, and who acknowledged to me that it was executed under that person's own free will for the purposes set forth therein.

Billie G. Martinez
Notary Public for Travis County, Texas

[SEAL]



My commission expires: 7-13-2021

