



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JULY 21, 2020

PTAS

JANET TSE  
C/O GENENTECH, INC.  
1 DNA WAY, M.S. 49  
SOUTH SAN FRANCISCO, CA 94080

**506160395**

### UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT RECORDATION BRANCH OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE ASSIGNMENT RECORDATION BRANCH AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT RECORDATION BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 07/18/2020

REEL/FRAME: 053247/0022  
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: P35574-WO -US -US-1 -WO-1

ASSIGNOR:  
GENENTECH, INC.

DOC DATE: 06/24/2020

ASSIGNEE:  
F. HOFFMANN-LA ROCHE AG  
GRENZACHERSTRASSE 124  
BASEL, SWITZERLAND 4070

APPLICATION NUMBER: 16902499

FILING DATE: 06/16/2020

PATENT NUMBER:

ISSUE DATE:

TITLE: PYRAZOLOPYRIMIDINE SULFONE INHIBITORS OF JAK KINASES AND USES  
THEREOF

APPLICATION NUMBER: 63035381

FILING DATE: 06/05/2020

PATENT NUMBER:

ISSUE DATE:

TITLE: PYRAZOLOPYRIMIDINE SULFONE INHIBITORS OF JAK KINASES AND USES  
THEREOF

APPLICATION NUMBER: FILING DATE: 06/16/2020  
PATENT NUMBER: ISSUE DATE:  
PCT NUMBER: US2020037853  
TITLE: PYRAZOLOPYRIMIDINE SULFONE INHIBITORS OF JAK KINASES AND USES  
THEREOF

APPLICATION NUMBER: FILING DATE:  
PATENT NUMBER: ISSUE DATE:  
PCT NUMBER: CN2019091712  
TITLE:

ASSIGNMENT RECORDATION BRANCH  
PUBLIC RECORDS DIVISION

**PATENT ASSIGNMENT COVER SHEET**

Electronic Version v1.1  
Stylesheet Version v1.2

|                                                                                                                                                                                                 |                                       |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------|
| <b>SUBMISSION TYPE:</b>                                                                                                                                                                         | NEW ASSIGNMENT                        |
| <b>NATURE OF CONVEYANCE:</b>                                                                                                                                                                    | ASSIGNMENT                            |
| <b>CONVEYING PARTY DATA</b>                                                                                                                                                                     |                                       |
| <b>Name</b>                                                                                                                                                                                     | <b>Execution Date</b>                 |
| GENENTECH, INC.                                                                                                                                                                                 | 06/24/2020                            |
| <b>RECEIVING PARTY DATA</b>                                                                                                                                                                     |                                       |
| <b>Name:</b>                                                                                                                                                                                    | F. HOFFMANN-LA ROCHE AG               |
| <b>Street Address:</b>                                                                                                                                                                          | GRENZACHERSTRASSE 124                 |
| <b>City:</b>                                                                                                                                                                                    | BASEL                                 |
| <b>State/Country:</b>                                                                                                                                                                           | SWITZERLAND                           |
| <b>Postal Code:</b>                                                                                                                                                                             | 4070                                  |
| <b>PROPERTY NUMBERS Total: 4</b>                                                                                                                                                                |                                       |
| <b>Property Type</b>                                                                                                                                                                            | <b>Number</b>                         |
| <b>PCT Number:</b>                                                                                                                                                                              | CN2019091712                          |
| <b>Application Number:</b>                                                                                                                                                                      | 63035381                              |
| <b>Application Number:</b>                                                                                                                                                                      | 16902499                              |
| <b>PCT Number:</b>                                                                                                                                                                              | US2020037853                          |
| <b>CORRESPONDENCE DATA</b>                                                                                                                                                                      |                                       |
| <b>Fax Number:</b>                                                                                                                                                                              | (650)952-9881                         |
| <b>Phone:</b>                                                                                                                                                                                   | 6502252906                            |
| <b>Email:</b>                                                                                                                                                                                   | jet@gene.com                          |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> |                                       |
| <b>Correspondent Name:</b>                                                                                                                                                                      | JANET TSE                             |
| <b>Address Line 1:</b>                                                                                                                                                                          | C/O GENENTECH, INC.                   |
| <b>Address Line 2:</b>                                                                                                                                                                          | 1 DNA WAY, M.S. 49                    |
| <b>Address Line 4:</b>                                                                                                                                                                          | SOUTH SAN FRANCISCO, CALIFORNIA 94080 |
| <b>ATTORNEY DOCKET NUMBER:</b>                                                                                                                                                                  | P35574-WO -US -US-1 -WO-1             |
| <b>NAME OF SUBMITTER:</b>                                                                                                                                                                       | JANET TSE                             |
| <b>Signature:</b>                                                                                                                                                                               | /Janet Tse/                           |

**Date:**

07/18/2020

**Total Attachments: 4**

source=P35574 countersigned Assignment GNE to FHLR#page1.tif

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**RECEIPT INFORMATION**

**EPAS ID:** PAT6207131

**Receipt Date:** 07/18/2020

## ASSIGNMENT II (Small Molecule)

GENENTECH, INC. ("Assignor"), a corporation organized and existing under and by virtue of the laws of the State of Delaware, United States of America, having a place of business at 1 DNA Way, South San Francisco, California 94080-4990, United States of America, for good and valuable consideration the receipt of which is hereby acknowledged, hereby assigns to

F. HOFFMANN-LA ROCHE AG ("Assignee"), a corporation organized and existing under and by virtue of the laws of Switzerland, having its principal place of business at Grenzacherstrasse 124, 4070 Basel, Switzerland,

all of its right, title and interest in and to application(s) in all jurisdictions of the world, except the United States of America and its territories and possessions, (collectively referred as "Ex-US Territories"), claiming priority to any application(s) identified on Exhibit A, and in and to any and all patents granted thereon in Ex-US Territories (including any post-grant amended form), including the right to claim priority to any application(s) identified on Exhibit A ("Assignment").

For clarity, such Assignment includes all right, title and interest in any subsequent application(s) in Ex-US Territories, which subsequent application(s) claim priority to any application(s) identified on Exhibit A, and in and to any and all patents granted thereon (including any post-grant amended form).

Assignor shall execute any additional assignments and writings as well as to perform any additional acts necessary to perfect the right, title and interest transferred hereunder and to enable Assignee to enjoy the full rights granted hereunder.

This Assignment shall be governed by the substantial laws of Switzerland (excluding its conflicts of law principles).

IN WITNESS WHEREOF on this date of 24 June 2020, the Assignor and Assignee have executed this Assignment and accepted the terms thereof:

So Redwood City, California,  
United States of America

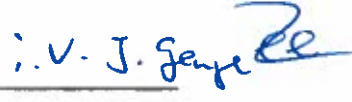
GENENTECH, INC.

By: 

Charles K. Sholtz  
Associate General Counsel  
Genentech, Inc.  
Authorized Corporate Signatory

Basel, Switzerland June 29, 2020

F. HOFFMANN-LA ROCHE AG

By:  

Antonio Natoli  
Holder of procuration

Ina Gengelbach  
Mandatory

TO BE NOTARIZED

**Exhibit A to Assignment II**

| <b>Docket No.</b>  | <b>Title</b>                                                                         | <b>Serial Number</b>     | <b>Filing Date</b>  |
|--------------------|--------------------------------------------------------------------------------------|--------------------------|---------------------|
| <b>P35574-WO</b>   | <b>PYRAZOLOPYRIMIDINE<br/>SULFONE INHIBITORS OF JAK<br/>KINASES AND USES THEREOF</b> | <b>PCT/CN2019/091712</b> | <b>18 June 2019</b> |
| <b>P35574-US</b>   | <b>PYRAZOLOPYRIMIDINE<br/>SULFONE INHIBITORS OF JAK<br/>KINASES AND USES THEREOF</b> | <b>63/035381</b>         | <b>5 June 2020</b>  |
| <b>P35574-US-1</b> | <b>PYRAZOLOPYRIMIDINE<br/>SULFONE INHIBITORS OF JAK<br/>KINASES AND USES THEREOF</b> | <b>16/902499</b>         | <b>16 June 2020</b> |
| <b>P35574-WO-1</b> | <b>PYRAZOLOPYRIMIDINE<br/>SULFONE INHIBITORS OF JAK<br/>KINASES AND USES THEREOF</b> | <b>PCT/US2020/037853</b> | <b>16 June 2020</b> |
| <b>P35574-AR</b>   | <b>PYRAZOLOPYRIMIDINE<br/>SULFONE INHIBITORS OF JAK<br/>KINASES AND USES THEREOF</b> | <b>P200101677</b>        | <b>16 June 2020</b> |
| <b>P35574-GC</b>   | <b>PYRAZOLOPYRIMIDINE<br/>SULFONE INHIBITORS OF JAK<br/>KINASES AND USES THEREOF</b> | <b>39922</b>             | <b>16 June 2020</b> |
| <b>P35574-TW</b>   | <b>PYRAZOLOPYRIMIDINE<br/>SULFONE INHIBITORS OF JAK<br/>KINASES AND USES THEREOF</b> | <b>109120272</b>         | <b>16 June 2020</b> |

## ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California  
County of San Mateo )

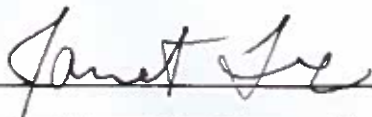
On 24 June 2020 before me, Janet Tse, Notary Public  
(Insert name and title of the officer)

personally appeared Charles K. Sholtz  
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

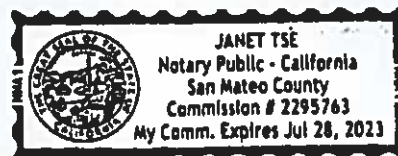
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature



(Seal)



## Legalization

The undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Dr. Christoph Nertz, herewith legalizes the foregoing signatures of

**F. Hoffmann-La Roche AG**, a stock company with legal domicile in Basel (Switzerland), rendered by

Mister Antonio Natoli, citizen of and residing in Basel (Switzerland), and

Miss Ina Gengelbach, German citizen, residing in Grenzach-Wyhlen (Germany),

both duly entitled to sign documents wherein property of the company is alienated.

Signers and signatures personally known.

**B a s e l** (Switzerland), this 10<sup>th</sup> (tenth) day of July 2020 (two thousand and twenty).

BR 931/2020

