

AS. 151.986

Señora Directora
DIRECCIÓN DE NUEVAS CREACIONES
SUPERINTENDENCIA DE INDUSTRIA Y COMERCIO

RESPUESTA A OFICIO No. 7236 DEL 13 DE MAYO DE 2022

REF: Expediente No. NC2022/0005587 - Solicitud de Patente Fase Nacional
A favor de **ALECTOR LLC**
Titulada: MOLÉCULAS DE FUSIÓN DEL ECD DE SIGLEC-9 Y MÉTODOS DE USO DE ESTAS

JUAN PABLO CADENA SARMIENTO, vecino de Bogotá, portador de la tarjeta profesional No. 57492, obrando como apoderado de la sociedad **ALECTOR LLC**, según lo acredita el documento de poder presentado en esa Dirección, para dar cumplimiento al requerimiento notificado de manera automática el 16 de mayo de 2022, de acuerdo con los Artículos 38 y 39 de la Decisión 486 de la comisión de la Comunidad Andina, me permito allegar:

1. Documento donde consta la cesión del derecho a la Patente otorgado por los inventores al solicitante.

De la señora Directora atentamente,



JUAN PABLO CADENA SARMIENTO
C.C. No. 80.410.337 de Usaquén
T. P. 57.492 del Consejo Superior de la Judicatura

Bogotá, 08 de julio de 2022
JPC/jpg



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

SEPTEMBER 30, 2021

PTAS

MCNEILL BAUR PLLC
125 CAMBRIDGE PARK DRIVE
SUITE 301
CAMBRIDGE, MA 02140

506896992

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE ASSIGNMENT RECORDATION BRANCH AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT RECORDATION BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 09/29/2021

REEL/FRAME: 057645/0756
NUMBER OF PAGES: 20

BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: 01209-0008-61US

ASSIGNOR:
LIANG, SPENCER

DOC DATE: 10/28/2020

ASSIGNOR:
NALLE, SAMUEL

DOC DATE: 10/27/2020

ASSIGNOR:
SUN, JEONGHOON

DOC DATE: 10/26/2020

ASSIGNOR:
LONG, HUA

DOC DATE: 10/29/2020

ASSIGNOR:
BANKOTI, RASHMI

DOC DATE: 10/27/2020

ASSIGNEE:
ALECTOR LLC
131 OYSTER POINT BOULEVARD
SUITE 600
SOUTH SAN FRANCISCO, CALIFORNIA
94080

APPLICATION NUMBER: 63014940

FILING DATE: 04/24/2020

PATENT NUMBER:

ISSUE DATE:

TITLE: SIGLEC9 FUSIONS AND USES THEREOF

ASSIGNMENT RECORDATION BRANCH

PUBLIC RECORDS DIVISION

ASSIGNMENT

WHEREAS We, the below named inventors (hereinafter referred to as "Assignors"),

Spencer Liang, Samuel Nalle, Jeonghoon Sun, Rashmi Bankoti and Hua Long;

All Assignors having a mailing address of:

**c/o Alector LLC
131 Oyster Point Boulevard
Suite 600
South San Francisco, CA 94080**

For which We made and executed the following patent applications:

Alector Ref. No.:	Country:	Application Number:	Filing Date: mm/dd/yyyy	Title:
40008-UX-1	US	62/930,227	11/04/2019	SIGLEC9 FUSIONS AND USES THEREOF
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40008-AR-1	AR	*	*	SIGLEC9 ECD FUSION MOLECULES AND METHODS OF USE THEREOF
40008-TW-1	TW	*	*	SIGLEC9 ECD FUSION MOLECULES AND METHODS OF USE THEREOF

**Patent identifying information may be added after execution.*

This Assignment is to:

**Assignee: Alector LLC
Address: 131 Oyster Point Boulevard, Suite 600
South San Francisco, California 94080**

A juristic entity duly organized under and pursuant to the laws of: Delaware (referred to in this Assignment as "Assignee"), which desires to acquire the Assignor's entire right, title and interest in, to and under the patent applications described above and the inventions covered thereby.

Assignor invented certain new and useful inventions which application numbers, filing dates, and titles are set forth above.

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, and to the extent that the Assignor has not done so already via a prior agreement with the Assignee or a predecessor in interest of the Assignee, or if the Assignor has already done so via a prior agreement with the Assignee or a predecessor in interest of the Assignee then in confirmation of any obligation to do so in said prior agreement,

1. Assignor hereby sells, assigns, transfers and sets over, to Assignee, its successors, legal representatives and assigns, Assignor's entire right, title and interest in and to the above-mentioned inventions, provisional application and application for letters patent, any and all non-provisionals, divisions, continuations, and continuations-in-part claiming priority thereto or the benefit thereof, substitutions of said

applications, and any and all letters patent or patents in the United States of America and all foreign countries or jurisdictions which may be granted therefor and thereon, including, without limitation, any modifications to such letters patent or patents such as through reissue, re-examination or other post-grant proceeding, any and all extensions of said letters patent or patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by Assignee (including any right to institute actions and to recover damages for past, present and future infringement, and any and all rights to claim priority to said application and any and all applications claiming priority thereto or based on said inventions), for its own use and the use of its successors, legal representatives and assigns, to the full end of the term or terms for which letters patent or patents may be granted, as fully and entirely as the same would have been held and enjoyed by Assignor, had this sale and assignment not been made.

2. Assignor represents, warrants and covenants (a) that, at the time of execution and delivery of this agreement, Assignor is the sole and lawful owner of Assignor's entire right, title and interest in and to said inventions arising from Assignor's inventorship thereof and said provisional application and application for letters patent, and that the same are unencumbered and that Assignor has good and full right and lawful authority to sell and convey the same in the manner set forth in this agreement, or (b) that Assignor solely and lawfully owned Assignor's entire right, title and interest in and to said inventions arising from Assignor's inventorship thereof and said provisional application and application for letters patent, and that the same were unencumbered and that Assignor previously sold, assigned, transferred and set over, to Assignee, its successors, legal representatives and assigns, or to a predecessor in interest of Assignee, Assignor's entire right, title and interest in and to said inventions and said provisional application and application for letters patent.

3. Assignor shall promptly sign, execute, and deliver to Assignee all papers, instruments, affidavits, and documents, take all lawful oaths, and do all acts necessary, required or useful for the procurement, maintenance, enforcement, defense or otherwise to secure title thereto to the Assignee, at the sole cost and expense of Assignee, its successors, legal representatives and assigns, in each case including, without limitation, arising from or relating to (a) said inventions, or said provisional application and application for letters patent; (b) any and all non-provisionals, divisions, continuations, or continuations-in-part claiming priority thereto or the benefit thereof, or any substitution of any such applications, or (c) any letters patent or patents for said inventions in any country or jurisdiction, including, without limitation, any modifications to such letters patent or patents such as through reissue, re-examination, or other post-grant proceeding. For purposes of this paragraph, "procurement, maintenance, enforcement, defense" shall include, without limitation, any preparation, prosecution, pre-grant proceeding, and post-grant proceeding, whether before a patent office or other administrative body or judicial body with jurisdiction therefor.

4. Assignor hereby authorizes and requests the Commissioner for Patents in the United States to issue the above mentioned letters patents of the United States and any reissue, reexamination, review, or extension thereof to and in the name of Assignee as the assignee of said inventions and the letters patents to be issued thereon for the sole use of Assignee, its successors, legal representatives and assigns.

5. Assignor hereby grants attorneys, all of **McNeill Baur PLLC**, (or as needed attorneys of the applicable country appointed and authorized by **McNeill Baur PLLC**), the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark office or any foreign patent issuing authority for recordation of this document, including the power to insert on this assignment the application number and filing date of said application when known.

6. This assignment may be executed in one or more counterparts, with the same effect as if each signature were on the same document. Each counterpart so executed shall be deemed to be an original, and all such counterparts shall be construed together and shall constitute one agreement.

In witness whereby, executed by the undersigned on the date(s) opposite the undersigned name(s).

ASSIGNOR(s):

Date: _____

Signature: _____
Spencer Liang

Date: _____

Signature: _____
Samuel Nalle

Date: _____

Signature: _____
Jeonghoon Sun

Date: _____

Signature: _____
Rashmi Bankoti

Date: _____

Signature: _____
Hua Long

ASSIGNEE:

Date: October 30, 2020

Signature: _____

Danielle Pasqualone

Name: Danielle Pasqualone

Title: Vice President, Intellectual Property

Company: Alector LLC

ASSIGNMENT

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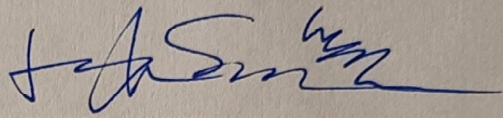
Date: _____

Signature: _____
Spencer Liang

Date: _____

Signature: _____
Samuel Nalle

Date: 10.26.2020

Signature: 
Jeonghoon Sun

Date: _____

Signature: _____
Rashmi Bankoti

Date: _____

Signature: _____
Hua Long

ASSIGNEE:

Date: _____

Signature: _____
Name: Danielle Pasqualone
Title: Vice President, Intellectual Property
Company: Alector LLC

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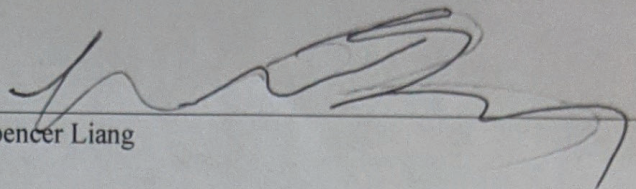
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Rashmi Bankoti

Date: _____

Signature: _____
Hua Long

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Date: _____

Signature: _____
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Title: Vice President, Intellectual Property
Company: Alector LLC

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5. Assignor hereby grants attorneys, all of **McNeill Baur PLLC**, (or as needed attorneys of the applicable country appointed and authorized by **McNeill Baur PLLC**), the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark office or any foreign patent issuing authority for recordation of this document, including the power to insert on this assignment the application number and filing date of said application when known.

6. This assignment may be executed in one or more counterparts, with the same effect as if each signature were on the same document. Each counterpart so executed shall be deemed to be an original, and all such counterparts shall be construed together and shall constitute one agreement.

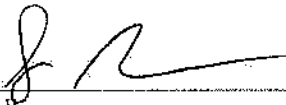
In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

ASSIGNOR(s):

Date: _____

Signature: _____
Spencer Liang

Date: 10/27/20

Signature:  _____
Samuel Nalle

Date: _____

Signature: _____
Jeonghoon Sun

Date: _____

Signature: _____
Rashmi Bankoti

Date: _____

Signature: _____
Hua Long

ASSIGNEE:

Date: _____

Signature: _____
Name: Danielle Pasqualone
Title: Vice President, Intellectual Property
Company: Alector LLC

ASSIGNMENT

WHEREAS We, the below named inventors (hereinafter referred to as "Assignors"),

Spencer Liang, Samuel Nalle, Jeonghoon Sun, Rashmi Bankoti and Hua Long;

All Assignors having a mailing address of:

**c/o Alector LLC
131 Oyster Point Boulevard
Suite 600
South San Francisco, CA 94080**

For which We made and executed the following patent applications:

Alector Ref. No.:	Country:	Application Number:	Filing Date: mm/dd/yyyy	Title:
40008-UX-1	US	62/930,227	11/04/2019	SIGLEC9 FUSIONS AND USES THEREOF
40008-UX-2	US	63/014,940	04/24/2020	SIGLEC9 FUSIONS AND USES THEREOF
40008-UX-3	US	63/092,753	10/16/2020	SIGLEC9 ECD FUSION MOLECULES AND METHODS OF USE THEREOF
40008-US-1	US	*	*	SIGLEC9 ECD FUSION MOLECULES AND METHODS OF USE THEREOF
40008-WO-1	PCT	*	*	SIGLEC9 ECD FUSION MOLECULES AND METHODS OF USE THEREOF
40008-AR-1	AR	*	*	SIGLEC9 ECD FUSION MOLECULES AND METHODS OF USE THEREOF
40008-TW-1	TW	*	*	SIGLEC9 ECD FUSION MOLECULES AND METHODS OF USE THEREOF

**Patent identifying information may be added after execution.*

This Assignment is to:

**Assignee: Alector LLC
Address: 131 Oyster Point Boulevard, Suite 600
South San Francisco, California 94080**

A juristic entity duly organized under and pursuant to the laws of: Delaware (referred to in this Assignment as "Assignee"), which desires to acquire the Assignor's entire right, title and interest in, to and under the patent applications described above and the inventions covered thereby.

Assignor invented certain new and useful inventions which application numbers, filing dates, and titles are set forth above.

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, and to the extent that the Assignor has not done so already via a prior agreement with the Assignee or a predecessor in interest of the Assignee, or if the Assignor has already done so via a prior agreement with the Assignee or a predecessor in interest of the Assignee then in confirmation of any obligation to do so in said prior agreement,

1. Assignor hereby sells, assigns, transfers and sets over, to Assignee, its successors, legal representatives and assigns, Assignor's entire right, title and interest in and to the above-mentioned inventions, provisional application and application for letters patent, any and all non-provisionals, divisions, continuations, and continuations-in-part claiming priority thereto or the benefit thereof, substitutions of said

applications, and any and all letters patent or patents in the United States of America and all foreign countries or jurisdictions which may be granted therefor and thereon, including, without limitation, any modifications to such letters patent or patents such as through reissue, re-examination or other post-grant proceeding, any and all extensions of said letters patent or patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by Assignee (including any right to institute actions and to recover damages for past, present and future infringement, and any and all rights to claim priority to said application and any and all applications claiming priority thereto or based on said inventions), for its own use and the use of its successors, legal representatives and assigns, to the full end of the term or terms for which letters patent or patents may be granted, as fully and entirely as the same would have been held and enjoyed by Assignor, had this sale and assignment not been made.

2. Assignor represents, warrants and covenants (a) that, at the time of execution and delivery of this agreement, Assignor is the sole and lawful owner of Assignor's entire right, title and interest in and to said inventions arising from Assignor's inventorship thereof and said provisional application and application for letters patent, and that the same are unencumbered and that Assignor has good and full right and lawful authority to sell and convey the same in the manner set forth in this agreement, or (b) that Assignor solely and lawfully owned Assignor's entire right, title and interest in and to said inventions arising from Assignor's inventorship thereof and said provisional application and application for letters patent, and that the same were unencumbered and that Assignor previously sold, assigned, transferred and set over, to Assignee, its successors, legal representatives and assigns, or to a predecessor in interest of Assignee, Assignor's entire right, title and interest in and to said inventions and said provisional application and application for letters patent.

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In witness whereby, executed by the undersigned on the date(s) opposite the undersigned name(s).

ASSIGNOR(s):

Date: _____

Signature: _____
Spencer Liang

Date: _____

Signature: _____
Samuel Nalle

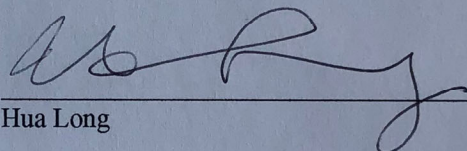
Date: _____

Signature: _____
Jeonghoon Sun

Date: _____

Signature: _____
Rashmi Bankoti

Date: Oct. 29, 2020

Signature:  _____
Hua Long

ASSIGNEE:

Date: _____

Signature: _____

Name: Danielle Pasqualone
Title: Vice President, Intellectual Property
Company: Alector LLC

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ASSIGNOR(s):

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Signature: _____
Spencer Liang

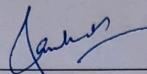
Date: _____

Signature: _____
Samuel Nalle

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Jeonghoon Sun

Date: 10/27/20

Signature:  _____
Rashmi Bankoti

Date: _____

Signature: _____
Hua Long

ASSIGNEE:

Date: _____

Signature: _____

Name: Danielle Pasqualone
Title: Vice President, Intellectual Property
Company: Alector LLC