



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

AUGUST 18, 2021

PTAS

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**506822028**

### UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: 114581.00410

ASSIGNOR:  
BAUM, ALINA

DOC DATE: 07/14/2021

ASSIGNOR:  
NITTOLI, THOMAS

DOC DATE: 06/01/2021

ASSIGNEE:  
REGENERON PHARMACEUTICALS, INC.  
777 OLD SAW MILL RIVER ROAD  
TARRYTOWN, NEW YORK 10591

APPLICATION NUMBER:  
PATENT NUMBER:  
PCT NUMBER: US2114802  
TITLE: PROTEIN-ANTIVIRAL COMPOUND CONJUGATES

FILING DATE: 01/23/2021  
ISSUE DATE:

ASSIGNMENT RECORDATION BRANCH  
PUBLIC RECORDS DIVISION

## ASSIGNMENT

**WHEREAS**, WE, **Alina BAUM**, residing at 182 Fairview Drive, Pleasantville, New York 10570, a citizen of the United States of America, and **Thomas NITTOLI**, residing at 236 N. Lincoln Street, Pearl River, New York 10965, a citizen of the United States of America, made certain new and useful inventions and improvements for which we filed International Application Number **PCT/US2021/014802**, on January 23, 2021, designating the United States of America, which is entitled **PROTEIN-ANTIVIRAL COMPOUND CONJUGATES** and claiming priority to Provisional Application Numbers 62/965,735, filed January 24, 2020 and 63/094,285, filed October 20, 2020.

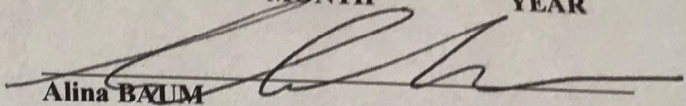
**AND WHEREAS**, **Regeneron Pharmaceuticals, Inc.**, a corporation organized and existing under and by virtue of the laws of the State of New York, and having an office and place of business at 777 Old Saw Mill River Road, Tarrytown, New York 10591 (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

**NOW THEREFORE**, to all whom it may concern, be it known that for good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and all applications for industrial property protection, including, without limitation, all applications for patents utility models, and designs, and in and to any and all applications which have been or shall be filed in any country or countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention, or under any other available international agreement or under the domestic laws of the country in which any such application is filed, as may be applicable, and all Letters Patent of a country or countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any Official of any country or countries foreign to the United States whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

**AND**, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 14 day of July, 2021.  
DAY MONTH YEAR

  
Alina BAUM

IN TESTIMONY WHEREOF, I have hereunto set my hand this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.  
DAY MONTH YEAR

\_\_\_\_\_  
Thomas NITTOLI

REGN Ref. No.: 10658WO01  
Application No.: PCT/US2021/014802

AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

DAY

MONTH

YEAR

Alina BAUM

IN TESTIMONY WHEREOF, I have hereunto set my hand this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

DAY

MONTH

YEAR

Thomas NITTOLI

## PCT REQUEST

Original (for SUBMISSION )

|                  |                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                 |
|------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| VIII-2-1         | <b>Declaration: Entitlement to apply for and be granted a patent</b><br>Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent (Rules 4.17(ii) and 51bis.1(a)(ii)), in a case where the declaration under Rule 4.17(iv) is not appropriate:<br>Name (LAST, First) | <b>In relation to this international application</b><br><br><b>REGENERON PHARMACEUTICALS, INC. is entitled to apply for and be granted a patent by virtue of the following:</b> |
| VIII-2-1<br>(iv) |                                                                                                                                                                                                                                                                                                                                     | <b>an assignment from BAUM, Alina to REGENERON PHARMACEUTICALS, INC., dated 20 January 2021 (20.01.2021)</b>                                                                    |
| VIII-2-1<br>(iv) |                                                                                                                                                                                                                                                                                                                                     | <b>an assignment from NITTOLI, Thomas to REGENERON PHARMACEUTICALS, INC., dated 17 January 2021 (17.01.2021)</b>                                                                |