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JANUARY 18, 2022

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1100 NEW YORK AVENUE NW
WASHINGTON, DC 20005

507074902

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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RECORDATION DATE: 01/14/2022

REEL/FRAME: 058656/0061
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: 4665.0020000

ASSIGNOR:
OSORIO, JORGE E.

DOC DATE: 07/09/2021

ASSIGNEE:
VACCIGEN, LLC
123 WEST WASHINGTON AVENUE
MADISON, WISCONSIN 53703

APPLICATION NUMBER: 63172495

FILING DATE: 04/08/2021

PATENT NUMBER:

ISSUE DATE:

TITLE: CORONAVIRUS VACCINE COMPRISING A MOSAIC PROTEIN

ASSIGNMENT RECORDATION BRANCH
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NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"><tr><th>Name</th><th>Execution Date</th></tr><tr><td>JORGE E. OSORIO</td><td>07/09/2021</td></tr></table>	Name	Execution Date	JORGE E. OSORIO	07/09/2021							
Name	Execution Date										
JORGE E. OSORIO	07/09/2021										
RECEIVING PARTY DATA											
<table border="1"><tr><td>Name:</td><td>VACCIGEN, LLC</td></tr><tr><td>Street Address:</td><td>123 WEST WASHINGTON AVENUE</td></tr><tr><td>City:</td><td>MADISON</td></tr><tr><td>State/Country:</td><td>WISCONSIN</td></tr><tr><td>Postal Code:</td><td>53703</td></tr></table>	Name:	VACCIGEN, LLC	Street Address:	123 WEST WASHINGTON AVENUE	City:	MADISON	State/Country:	WISCONSIN	Postal Code:	53703	
Name:	VACCIGEN, LLC										
Street Address:	123 WEST WASHINGTON AVENUE										
City:	MADISON										
State/Country:	WISCONSIN										
Postal Code:	53703										
PROPERTY NUMBERS Total: 1											
<table border="1"><tr><th>Property Type</th><th>Number</th></tr><tr><td>Application Number:</td><td>63172495</td></tr></table>	Property Type	Number	Application Number:	63172495							
Property Type	Number										
Application Number:	63172495										
CORRESPONDENCE DATA											
Fax Number:	(202)371-2540										
Phone:	2023712600										

Email: kmurray@sternekessler.com, KSchroder@Sternekessler.com, jgold@sternekessler.com <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> Correspondent Name: STERNE, KESSLER, GOLDSTEIN AND FOX P.L.L.C. Address Line 1: 1100 NEW YORK AVENUE NW Address Line 4: WASHINGTON, D.C. 20005	
ATTORNEY DOCKET NUMBER:	4665.0020000
NAME OF SUBMITTER:	JORGE A. GOLDSTEIN
Signature:	/JorgeAGoldstein/
Date:	01/14/2022
Total Attachments: 2 source=2022-01-13-Assignment-Osorio-Vaccigen-4665-0020000#page1.tif source=2022-01-13-Assignment-Osorio-Vaccigen-4665-0020000#page2.tif	
RECEIPT INFORMATION EPAS ID: PAT7121738 Receipt Date: 01/14/2022	

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ASSIGNMENT

In consideration of the sum of One Dollar (\$1.00) or equivalent and other good and valuable consideration paid to each of the undersigned Inventors: **Jamie Lopera Madrid and Jorge E. Osorio** hereby sell and assign to **VACCIGEN, LLC**, a limited liability company formed under the laws of Wisconsin, whose mailing address is VACCIGEN, LLC (hereafter referred to as the Assignee), 123 West Washington Avenue, Madison, WI 53703, his/her entire right, title and interest, including the right to sue for past infringement and to collect for all past, present and future damages, for the United States of America (as defined in 35 U.S.C. § 100) and throughout the world,

(a) in the invention(s) known as **CORONAVIRUS VACCINE COMPRISING A MOSAIC PROTEIN** for which a provisional application for patent in the United States of America was filed on April 8, 2021 (also known as United States Application No. 63/172,495), in any and all non-provisional applications therefrom, in any and all Letters Patent(s) granted from such non-provisional applications, and

(b) in any and all applications that claim the benefit of the patent application listed above in part (a), including non-provisional applications, continuing (continuation, divisional, or continuation-in-part) applications, reissues, extensions, renewals and reexaminations of the patent application or Letters Patent therefor listed above in part (a), to the full extent of the term or terms for which Letters Patents issue, and

(c) in any and all inventions described in the patent application listed above in part (a), and in any and all forms of intellectual and industrial property protection derivable from such patent application, and that are derivable from any and all continuing applications, reissues, extensions, renewals and reexaminations of such patent application, including, without limitation, patents, applications, utility models, inventor's certificates, and designs together with the right to file applications therefor; and including the right to claim the same priority rights from any previously filed applications under the International Agreement for the Protection of Industrial Property, or any other international agreement, or the domestic laws of the country in which any such application is filed, as may be applicable;

all such rights, title and interest to be held and enjoyed by the above-named Assignee, its successors, legal representatives and assigns to the same extent as all such rights, title and interest would have been held and enjoyed by the undersigned Inventors had this assignment and sale not been made.

The undersigned Inventors agree to execute all papers necessary in connection with the application(s) and any non-provisional, continuing (continuation, divisional, or continuation-in-part), reissue, reexamination or corresponding application(s) thereof and also to execute separate assignments in connection with such application(s) as the Assignee may deem necessary or expedient.

The undersigned Inventors agree to execute all papers necessary in connection with any judicial or administrative proceeding, including but not limited to an interference, derivation, post-grant proceeding, or patent enforcement action (judicial or otherwise) related to the application(s) or any non-provisional, continuing (continuation, divisional, or continuation-in-part), reissue or reexamination application(s) thereof or Letters Patent(s) therefor and to cooperate with the Assignee in every way possible in obtaining evidence and assisting with such judicial or administrative proceeding.

The undersigned Inventors hereby represent that he/she has full right to convey the entire interest herein assigned, and that he/she has not executed, and will not execute, any agreement in conflict therewith.

The undersigned Inventors hereby grant the patent practitioners associated with **CUSTOMER NUMBER 26111** the power to insert in this assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

The undersigned Inventors hereby represent that he/she understands that the patent practitioners associated with **CUSTOMER NUMBER 26111** are the legal representatives of, and attorneys for, the assignee, and are NOT the legal representatives of, and attorneys for, the Inventors.

IN WITNESS WHEREOF, executed by the undersigned Inventors on the date opposite his/her name.

Date: _____ Signature of Inventor: _____
Jamie Lopera MADRID

Date: 7/9/2021 Signature of Inventor: Jorge Osorio
Jorge E. OSORIO

SIGNED on behalf of the said ASSIGNEE,

VACCIGEN, LLC

By: Jorge Osorio

Name: Jorge Osorio

Title: Founder

Date: 7/9/2021



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JANUARY 25, 2022

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STERNE, KESSLER, GOLDSTEIN AND FOX
P.L.L.C.
1100 NEW YORK AVENUE NW
WASHINGTON, DC 20005

507090265

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RECORDATION DATE: 01/24/2022

REEL/FRAME: 058746/0301
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: 4997.0020000

ASSIGNOR:
VACCIGEN LLC

DOC DATE: 12/31/2021

ASSIGNEE:
VAXTHERA SAS
CRA. 64 B NO 49 A 30
MEDELLIN, COLOMBIA

APPLICATION NUMBER: 63172495

FILING DATE: 04/08/2021

PATENT NUMBER:

ISSUE DATE:

TITLE: CORONAVIRUS VACCINE COMPRISING A MOSAIC PROTEIN

ASSIGNMENT RECORDATION BRANCH
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Name	Execution Date								
VACCIGEN LLC	12/31/2021								
RECEIVING PARTY DATA									
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Application Number:	63172495								
CORRESPONDENCE DATA									
Fax Number:	(202)371-2540								
Phone:	2023712600								
Email:									

kmurray@sternekessler.com, jgold@sternekessler.com, KSchroder@Sternekessler.com <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> Correspondent Name: STERNE, KESSLER, GOLDSTEIN AND FOX P.L.L.C. Address Line 1: 1100 NEW YORK AVENUE NW Address Line 4: WASHINGTON, D.C. 20005	
ATTORNEY DOCKET NUMBER:	4997.0020000
NAME OF SUBMITTER:	JORGE A. GOLDSTEIN
Signature:	/JorgeAGoldstein/
Date:	01/24/2022
Total Attachments: 4 source=Assignment-Vaccigen-VaxThera-4997-0020000#page1.tif source=Assignment-Vaccigen-VaxThera-4997-0020000#page2.tif source=Assignment-Vaccigen-VaxThera-4997-0020000#page3.tif source=Assignment-Vaccigen-VaxThera-4997-0020000#page4.tif	
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ASSIGNMENT

In consideration of the sum of One Dollar (\$1.00) or equivalent and other good and valuable consideration, receipt of which is hereby acknowledged, **Vaccigen LLC**, a limited liability company formed under the laws of Wisconsin, and having an office and place of business at 123 West Washington Avenue, Madison, WI 53703 (hereafter referred to as the “Assignor”), hereby sells and assigns to **Vaxthera SAS**, a corporation formed under the laws of Colombia, having an office and place of business at incorporated in Colombia with an address at Cra. 64 B N° 49 A 30, Medellín, Colombia (hereafter referred to as the “Assignee”), its entire right, title and interest for the United States of America (as defined in 35 U.S.C. § 100), and throughout the world, including the right to sue for past infringement and to collect for all past, present and future damages,

(a) in each of the Patents and Patent Applications that are described in detail in Schedule A, annexed hereto and made a part hereof;

(b) in any and all applications that claim the benefit of the Patents and Patent Applications described in detail in Schedule A, including non-provisional applications, continuing (continuation, divisional, or continuation-in-part) applications, reissues, extensions, renewals, and reexaminations of such Patents and Patent Applications, to the full extent of the term or terms for which Letters Patents issue; and

(c) in any and all inventions described in each of the Patents and Patent Applications that are described in detail on Schedule A, and in all forms of intellectual and industrial property protection derivable therefrom, and that are derivable from any and all continuing applications, reissues, extensions, renewals and reexaminations of such Patents and Patent Applications, including, without limitation, patents, applications, utility models, inventor’s certificates, and designs together with the right to file applications therefor; and including the right to claim the same priority rights from any previously filed applications under the International Agreement for the Protection of Industrial Property, or any other international agreement, or the domestic laws of the country in which any such application is filed, as may be applicable;

all such rights, title and interest to be held and enjoyed by the above-named Assignee, its successors, legal representatives and assigns to the same extent as all such rights, title and interest would have been held and enjoyed by the Assignor had this assignment and sale not been made.

The Assignor agrees to execute all papers necessary in connection with the applications and Letters Patents as above, and any non-provisional, continuing (continuation, divisional, or continuation-in-part), reissue, reexamination or corresponding applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The Assignor agrees to execute all papers necessary in connection with any judicial or administrative proceeding, including but not limited to an interference, derivation, post-grant proceeding, patent enforcement action (judicial or otherwise) related to the applications or Letters Patents or any non-provisional, continuing (continuation, divisional, or continuation-in-part), reissue or reexamination application thereof and to cooperate with the Assignee at Assignee's expense in every reasonable way possible in obtaining evidence and going forward with such judicial or administrative proceeding.

The Assignor agrees to perform all affirmative acts at Assignee's request and expense that may be necessary to obtain or ensure a grant of a valid patent to the Assignee.

The Assignor hereby represents that Assignor has full right and authority to convey the entire interest herein assigned, and that Assignor has not executed, and will not execute, any agreement in conflict therewith.

The Assignor hereby grants the patent practitioners associated with **CUSTOMER NUMBER 26111** the power to insert in this assignment, including the attached Schedule A, any further information regarding the patents and patent applications so identified in such Schedule A that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

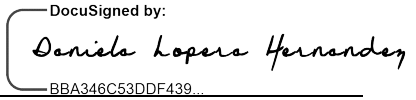
SIGNED on behalf of the said ASSIGNOR,

Vaccigen LLC

By: 
Name: Jorge Emilio Osorio Benítez
Title: CEO
Date: 12/31/2021

SIGNED on behalf of the said ASSIGNEE,

Vaxthera SAS

By: 
Name: Daniela Lopera Hernandez
Title: Legal Representative
Date: 1/3/2022

SCHEDULE A**UNITED STATES PATENTS, UNITED STATES PATENT APPLICATIONS
AND INTERNATIONAL APPLICATIONS THAT DESIGNATE THE
UNITED STATES**

Application No.	Filing Date	Patent No.	Issue
63/172,495	April 8, 2021	N/A	N/A
63/263,131	October 27, 2021	N/A	N/A
In preparation Attorney docket 4665.0020002	Not yet filed	N/A	N/A