

China National Intellectual Property Administration

AFD China Intellectual Property Law Office Tower B, 21st Fl. Suite 2108, 38 Xueqing Road Haidian, Beijing 100083, China Jingjing Wu (82730790)	Issue Date: December 1, 2021
Application or Patent No.: 2021114426584	Issue Serial No.: 2021120102830650

The Official Filing Receipt for Patent Application

China National Intellectual Property Administration (CNIPA) has accepted the patent application filed by the applicant, pursuant to Article 28 of Patent Law and Rules 38 and 39 of its Implementing Regulations. The assigned application number, filing date, applicant(s) and title are hereby notified as follows:

Application Number: 2021114426584

Filing Date: November 30, 2021

Applicant(s): Kura Oncology, Inc.

Title: Macrocyclic Compounds and Compositions, and
Methods of Preparing and Using the Same

China National Intellectual Property Administration acknowledges receipt of the following documents:

Claims: 1 set, each with 28 pages, 234 claims

Description: 1 set, each with 214 pages

Request for Secrecy Review for filing application abroad: 1 set, each with 1 page

Abstract: 1 set, each with 1 page

Request for Invention patent application: 1 set, each with 5 pages

Remarks:

1. After receiving this notification, the applicant(s) shall request to correct before the China National Intellectual Property Administration if finding any content recorded here is not in consistent with that submitted.
2. After receiving this notification, the applicant(s) must indicate the application number accurately and clearly when going through any various formalities before the China National Intellectual Property Administration.
3. After receiving the request for Secrecy Review for filing application abroad, CNIPA will examine the request pursuant to Rule 9 of the Implementing Regulations of Patent Law.

Examiner: Automatic processing

Examination Department:
Preliminary Examination and Procedure Management Department

Translated by AFD China IP

Our Ref. No.: 092110768-P

ASSIGNMENT

WHEREAS, WE, **Zhu Bai**, citizen of the People's Republic of China, having a mailing address of Room 302, Building No.36, Lane 88, Jiangwancheng Road, Yangpu District, Shanghai 200433 residing at Shanghai, China, **Wanting Xiong**, citizen of the People's Republic of China, having a mailing address of Room 601, Building No.53, Lane 420, Linghe Road, Pudong New District, Shanghai 200129 residing at Shanghai, China and **Dan Xu**, citizen of the People's Republic of China, having a mailing address of Room 1002, Building No.11, Lane 91, Qiulan Road, Pudong New District, Shanghai 200120 residing at Shanghai, China, ASSIGNORS, are co-inventors with Xuefeng Zhu and Pingda Ren of the invention in **MACROCYCLIC COMPOUNDS AND COMPOSITIONS, AND METHODS OF PREPARING AND USING THE SAME** for which an application for a Patent of the People's Republic of China has been filed.

- ☒ which is identified by Jones Day docket no. 14168-067-146 (CAM 649875-146067)
☒ which was filed on November 30, 2021, Chinese Patent Application No. 202111442658.4

and WHEREAS, **WUXI APPTec (HONG KONG) LIMITED**, a Hong Kong corporation with its principal place of business at Unit 1005, 10/F Prosperous Building 48-52 Des Voeux Road Central, Hong Kong, ASSIGNEE, is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said Chinese patent application, all applications claiming benefit of priority from said Chinese patent application, and all divisions, renewals and continuations thereof, and all patents of any country or countries which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may be filed for said invention in any country or countries, together with the right to file such applications and the right to claim for the same the priority rights derived from said Chinese patent application under the Patent Laws of the People's Republic of China, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries and all extensions, renewals and reissues thereof; and all right to sue for infringement including past infringement.

AND WE HEREBY authorize and request any Official of any country or countries, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date Mar. 24, 2022

Zhu Bai
Zhu Bai

L.S.

Date Mar. 25, 2022

Taiping Han
Witness 1 - Signature

Taiping Han
Witness 1 - Printed Name

Date Mar. 25, 2022

Mingjie Yu
Witness 2 - Signature

Mingjie Yu
Witness 2 - Printed Name

Date Mar. 23, 2022

Wanting Xiong L.S.
Wanting Xiong

Date Mar. 23, 2022

Taiping Han
Witness 1 - Signature

Taiping Han
Witness 1 - Printed Name

Date Mar. 25, 2022

Mingjie Yu
Witness 2 - Signature

Mingjie Yu
Witness 2 - Printed Name

Date Mar. 25, 2022

Dan Xu L.S.
Dan Xu

Date Mar. 25, 2022

Taiping Han
Witness 1 - Signature

Taiping Han
Witness 1 - Printed Name

Date Mar. 25, 2022

Mingjie Yu
Witness 2 - Signature

Mingjie Yu
Witness 2 - Printed Name

ACCEPTED AND AGREED TO BY ASSIGNEE, WUXI APPTec (HONG KONG) LIMITED:

Signature: Tao Guo

Date: March 25, 2022

Printed Name: Tao Guo

Title: Authorized Signatory

ASSIGNMENT

WHEREAS, **WUXI APPTec (HONG KONG) LIMITED**, a Hong Kong corporation with its principal place of business at Unit 1005, 10/F Prosperous Building 48-52 Des Voeux Road Central, Hong Kong, ASSIGNOR, and **KURA ONCOLOGY, INC.**, a corporation organized and existing under the laws of the state of Delaware, and having an office for the transaction of business at 12730 High Bluff Drive, Suite 400, San Diego, CA 92130, ASSIGNEE, entered into a Master Services Agreement dated February 3, 2016, as amended January 30, 2019 (the "MSA").

WHEREAS, under the terms of the MSA, ASSIGNOR has assigned to ASSIGNEE all right, title and interest in and to all inventions, technology, discoveries and ideas, whether or not patentable, that are generated, conceived, reduced to practice by ASSIGNOR or its employees, contractors, or agents, whether solely or jointly with others, in the course of as a result of conducting services under the MSA or that are based on or incorporate ASSIGNEE's confidential information or made using certain materials provided by or on behalf of ASSIGNEE or procured by ASSIGNOR in accordance with the MSA, and ASSIGNOR has agreed to execute and deliver this Assignment for recording with the United States Patent and Trademark Office and corresponding entities or agencies in any applicable jurisdictions.

WHEREAS, ASSIGNOR received by assignment the entire right, title and interest in, to, and under the contribution by named co-inventors **Zhu Bai, Wanting Xiong and Dan Xu** of the invention in **MACROCYCLIC COMPOUNDS AND COMPOSITIONS, AND METHODS OF PREPARING AND USING THE SAME** for which an application for a Patent of the People's Republic of China has been filed.

- ☒ which is identified by Jones Day docket no. 14168-067-146 (CAM 649875-146067)
- ☒ which was filed on November 30, 2021, Chinese Patent Application No. 202111442658.4

and WHEREAS, ASSIGNEE is desirous of obtaining ASSIGNOR's entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR has sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said Chinese patent application, all applications claiming benefit of priority from said Chinese patent application, and all divisions, renewals and continuations thereof, and all patents of any country or countries which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may be filed for said invention in any country or countries, together with the right to file such applications and the right to claim for the same the priority rights derived from said Chinese patent application under the Patent Laws of the People's Republic of China, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries and all extensions, renewals and reissues thereof; and all right to sue for infringement including past infringement.

AND ASSIGNOR HEREBY authorizes and requests any Official of any country or countries, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND ASSIGNOR HEREBY covenants and agrees that ASSIGNOR has full right to convey the entire interest herein assigned, and that ASSIGNOR has not executed, and will not execute, any agreement in conflict herewith.

AND ASSIGNOR HEREBY further covenants and agrees that ASSIGNOR will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, ASSIGNOR has caused this Assignment to be executed by its duly authorized representative on the day and year set opposite such duly authorized representative's signature.

ASSIGNOR, WUXI APPTec (HONG KONG) LIMITED:

Signature: _____

Tao Guo

Date: _____

March 25, 2022

Printed Name: _____

Tao Guo

Title: _____

Authorized Signatory

ACCEPTED AND AGREED TO BY ASSIGNEE, KURA ONCOLOGY, INC.:

Signature: _____

Teresa Bair

Date: _____

April 7, 2022

Printed Name: _____

Teresa Bair

Title: _____

Chief Legal Officer

ASSIGNMENT

WHEREAS, WE, **Xuefeng Zhu**, citizen of the United States, having a mailing address of 17215 Eagle Canyon Pl., San Diego, CA 92127, residing at San Diego, CA and **Pingda Ren**, citizen of the People's Republic of China, having a mailing address of 5534 Havenridge Way, San Diego, CA 92130, residing at San Diego, CA, ASSIGNORS, are co-inventors with Zhu Bai, Wanting Xiong, and Dan Xu of the invention in **MACROCYCLIC COMPOUNDS AND COMPOSITIONS, AND METHODS OF PREPARING AND USING THE SAME** for which an application for a Patent of the People's Republic of China has been filed.

- ☒ which is identified by Jones Day docket no. 14168-067-146 (CAM 649875-146067)
- ☒ which was filed on November 30, 2021, Chinese Patent Application No. 202111442658.4

and WHEREAS, **KURA ONCOLOGY, INC.**, a corporation organized and existing under the laws of the state of Delaware, and having an office for the transaction of business at 12730 High Bluff Drive, Suite 400, San Diego, CA 92130, ASSIGNEE, is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said Chinese patent application, all applications claiming benefit of priority from said Chinese patent application, and all divisions, renewals and continuations thereof, and all patents of any country or countries which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may be filed for said invention in any country or countries, together with the right to file such applications and the right to claim for the same the priority rights derived from said Chinese patent application under the Patent Laws of the People's Republic of China, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries and all extensions, renewals and reissues thereof; and all right to sue for infringement including past infringement.

AND WE HEREBY authorize and request any Official of any country or countries, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date 03/11/2022

Xuefeng Zhu L.S.
Xuefeng Zhu

Date 3/17/2022

Pingda Ren L.S.
Pingda Ren

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA)

) SS.:

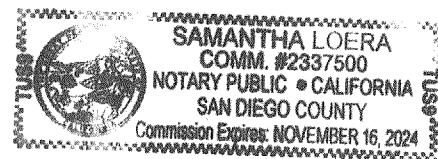
COUNTY OF San Diego)

On March 11, 2022 before me, Samantha Loera, Notary Public, personally appeared Xuefeng Zhu, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal

Signature _____ (Seal)



A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA)

) SS.:

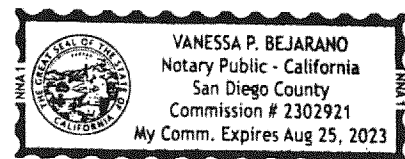
COUNTY OF San Diego)

On March 17, 2022 before me, Vanessa P. Bejarano, Notary Public, personally appeared Pingda Ren, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal

Signature Vanessa P. Bejarano (Seal)



ACCEPTED AND AGREED TO BY ASSIGNEE, KURA ONCOLOGY, INC.:

Signature: _____

Date: 3/29/22

Printed Name: Teresa Bair

Title: Chief Legal Officer