



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

SEPTEMBER 28, 2023

PTAS

DAVID LANE
ONE JOHNSON & JOHNSON PLAZA
NEW BRUNSWICK, NJ 08933-7003

508141951

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT RECORDATION BRANCH OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE ASSIGNMENT RECORDATION BRANCH AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT RECORDATION BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 09/26/2023

REEL/FRAME: 065035/0510
NUMBER OF PAGES: 11

BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: PRD4254USPSP1

ASSIGNOR:
SKARIAH, GEENA

DOC DATE: 09/25/2023

ASSIGNOR:
HALDEMAN, JONATHAN

DOC DATE: 09/25/2023

ASSIGNOR:
SPILLER, KRISTA

DOC DATE: 09/25/2023

ASSIGNEE:
JANSSEN RESEARCH & DEVELOPMENT, LLC
920 US ROUTE 202
PO BOX 300
RARITAN, NEW JERSEY 08869

APPLICATION NUMBER: 63443289

FILING DATE: 02/03/2023

PATENT NUMBER:

ISSUE DATE:

TITLE: GENE THERAPY VECTORS FOR USE IN PARKINSON'S DISEASE

ASSIGNMENT RECORDATION BRANCH
PUBLIC RECORDS DIVISION

COMBINED DECLARATION AND ASSIGNMENT

Title of Invention: GENE THERAPY VECTORS FOR USE IN PARKINSON'S DISEASE

This declaration and assignment is directed to:

☐ The attached or filed herewith application of (list of named inventors),

or

☒ The United States application 63/443,289 filed on February 3, 2023.

Declaration

As the below named inventor, I hereby declare that:

The above-identified application ("Application") was made or authorized by me.

I believe that I am the original inventor or an original inventor of a claimed invention or discovery in the **Application**.

I have reviewed and understood the contents of the Application, including the claims, and I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, the United States Code of Federal Regulations, § 1.56 for filings of this Application in the United States of America.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or imprisonment of not more than five (5) years, or both for filings of this Application in the United States of America.

Assignment

Janssen Research & Development, LLC
920 US Route 202
PO Box 300
Raritan NJ 08869

A corporation of the state of New Jersey
(hereinafter designated as the "Assignee"),

☐ I hereby acknowledge that I have assigned the above-identified invention by previous assignment (attached hereto) to Assignee which is hereby conformed for recordation in the US Patent and Trademark Office, and I confirm I have and do assign and transfer:

or

☒ For good and valuable consideration, the sufficiency of which is acknowledged, I hereby assign and transfer and/or have assigned and transferred to Assignee, such assignment being made effective as of February 3, 2023.

my entire right, title, and interest in, to, and under the Application, including all priority rights for other countries arising therefrom and the right to claim priority to the Application, all inventions or discoveries therein disclosed, and any and all Letters Patent of the United States, European Patent Office and of all other countries, which may be granted for such inventions or discoveries, or any of them, all such inventions or discoveries and all rights in such Application including any and all provisionals, substitutions, divisions, and continuations thereof, and to all Letters Patent that may be granted for said inventions and discoveries, and in and to all extensions, supplementary protection certificates, reexaminations, renewals, and reissues thereof, to be held and enjoyed by Assignee for its own use and enjoyment to the full end of the term or terms for which such Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me had this assignment and sale not been made.

I shall execute all papers necessary in connection with the Application in the US Patent and Trademark Office, European Patent Office, any other patent offices, and under the Patent Cooperation Treaty, and any continuing, divisional, or reissue applications thereof, any reexamination of any of such applications, and any patent term extensions or supplementary protection certificates of any such applications and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

I shall execute all papers necessary in connection with any litigation or any other judicial proceeding in the United States or other country, or any administrative proceeding in the US Patent and Trademark Office, European Patent Office, any other patent office, or under the Patent Cooperation Treaty concerning the Application(s) or any continuation, divisional, or reissue applications thereof, or any reexamination of any such applications, or any Letters Patent issued therefrom or any patent term extensions or supplementary protection certificates of any such applications and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such litigation or proceeding.

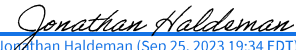
I shall execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

I shall do all other acts which, in the opinion of Assignee, may be necessary or desirable to secure the grant of Letters Patent to Assignee or its nominees, in the United States, by the European Patent Office and in all other countries where Assignee may desire to have such inventions or discoveries, or any of them, patented, with specifications and claims in such form as shall be approved by Assignee and to vest and confirm in Assignee or its nominees the full and complete legal and equitable title to all such Letters Patent.

I hereby (i) authorize and request the Commissioner of Patents to issue any and all Letters Patent of the United States resulting from the Application or any divisional, continuation, or reissue applications thereof, and any reexamination of any of such applications, to Assignee, and (ii) covenant that I have full right to convey the interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

I hereby grant the attorney of record the power to insert on this assignment any further identification which may be necessary or desirable in order to obtain legal recordation of this document.

Jonathan Haldeman


Jonathan Haldeman (Sep 25, 2023 19:34 EDT)

Sep 25, 2023

/_____
Signature

Date

PRD4254USPSP1_Assignment-Haldeman_JRD LLC

Final Audit Report

2023-09-25

Created:	2023-09-25
By:	CHRISTINE KOCHMANN (ckochman@its.jnj.com)
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-  Document created by CHRISTINE KOCHMANN (ckochman@its.jnj.com)
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-  Document emailed to Jonathan Haldeman (jhaldem1@its.jnj.com) for signature
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-  Email viewed by Jonathan Haldeman (jhaldem1@its.jnj.com)
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-  Document e-signed by Jonathan Haldeman (jhaldem1@its.jnj.com)
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-  Agreement completed.
2023-09-25 - 11:34:59 PM GMT

COMBINED DECLARATION AND ASSIGNMENT

Title of Invention: GENE THERAPY VECTORS FOR USE IN PARKINSON'S DISEASE

This declaration and assignment is directed to:

☐ The attached or filed herewith application of (list of named inventors),

or

☒ The United States application 63/443,289 filed on February 3, 2023.

Declaration

As the below named inventor, I hereby declare that:

The above-identified application ("Application") was made or authorized by me.

I believe that I am the original inventor or an original inventor of a claimed invention or discovery in the **Application**.

I have reviewed and understood the contents of the Application, including the claims, and I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, the United States Code of Federal Regulations, § 1.56 for filings of this Application in the United States of America.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or imprisonment of not more than five (5) years, or both for filings of this Application in the United States of America.

Assignment

Janssen Research & Development, LLC
920 US Route 202
PO Box 300
Raritan NJ 08869

A corporation of the state of New Jersey
(hereinafter designated as the "Assignee"),

☐ I hereby acknowledge that I have assigned the above-identified invention by previous assignment (attached hereto) to Assignee which is hereby conformed for recordation in the US Patent and Trademark Office, and I confirm I have and do assign and transfer:

or

☒ For good and valuable consideration, the sufficiency of which is acknowledged, I hereby assign and transfer and/or have assigned and transferred to Assignee, such assignment being made effective as of February 3, 2023.

my entire right, title, and interest in, to, and under the Application, including all priority rights for other countries arising therefrom and the right to claim priority to the Application, all inventions or discoveries therein disclosed, and any and all Letters Patent of the United States, European Patent Office and of all other countries, which may be granted for such inventions or discoveries, or any of them, all such inventions or discoveries and all rights in such Application including any and all provisionals, substitutions, divisions, and continuations thereof, and to all Letters Patent that may be granted for said inventions and discoveries, and in and to all extensions, supplementary protection certificates, reexaminations, renewals, and reissues thereof, to be held and enjoyed by Assignee for its own use and enjoyment to the full end of the term or terms for which such Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me had this assignment and sale not been made.

I shall execute all papers necessary in connection with the Application in the US Patent and Trademark Office, European Patent Office, any other patent offices, and under the Patent Cooperation Treaty, and any continuing, divisional, or reissue applications thereof, any reexamination of any of such applications, and any patent term extensions or supplementary protection certificates of any such applications and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

I shall execute all papers necessary in connection with any litigation or any other judicial proceeding in the United States or other country, or any administrative proceeding in the US Patent and Trademark Office, European Patent Office, any other patent office, or under the Patent Cooperation Treaty concerning the Application(s) or any continuation, divisional, or reissue applications thereof, or any reexamination of any such applications, or any Letters Patent issued therefrom or any patent term extensions or supplementary protection certificates of any such applications and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such litigation or proceeding.

I shall execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

I shall do all other acts which, in the opinion of Assignee, may be necessary or desirable to secure the grant of Letters Patent to Assignee or its nominees, in the United States, by the European Patent Office and in all other countries where Assignee may desire to have such inventions or discoveries, or any of them, patented, with specifications and claims in such form as shall be approved by Assignee and to vest and confirm in Assignee or its nominees the full and complete legal and equitable title to all such Letters Patent.

I hereby (i) authorize and request the Commissioner of Patents to issue any and all Letters Patent of the United States resulting from the Application or any divisional, continuation, or reissue applications thereof, and any reexamination of any of such applications, to Assignee, and (ii) covenant that I have full right to convey the interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

I hereby grant the attorney of record the power to insert on this assignment any further identification which may be necessary or desirable in order to obtain legal recordation of this document.

Geena Skariah

Geena Skariah

Geena Skariah (Sep 25, 2023 13:14 EDT)

Signature

Sep 25, 2023

Date

PRD4254USPSP1_Assignment-Skariah_JRDLLC

Final Audit Report

2023-09-25

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By:	CHRISTINE KOCHMANN (ckochman@its.jnj.com)
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 Agreement completed.

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COMBINED DECLARATION AND ASSIGNMENT

Title of Invention: GENE THERAPY VECTORS FOR USE IN PARKINSON'S DISEASE

This declaration and assignment is directed to:

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or

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Assignment

Janssen Research & Development, LLC
920 US Route 202
PO Box 300
Raritan NJ 08869

A corporation of the state of New Jersey
(hereinafter designated as the "Assignee"),

☐ I hereby acknowledge that I have assigned the above-identified invention by previous assignment (attached hereto) to Assignee which is hereby conformed for recordation in the US Patent and Trademark Office, and I confirm I have and do assign and transfer:

or

☒ For good and valuable consideration, the sufficiency of which is acknowledged, I hereby assign and transfer and/or have assigned and transferred to Assignee, such assignment being made effective as of February 3, 2023.

my entire right, title, and interest in, to, and under the Application, including all priority rights for other countries arising therefrom and the right to claim priority to the Application, all inventions or discoveries therein disclosed, and any and all Letters Patent of the United States, European Patent Office and of all other countries, which may be granted for such inventions or discoveries, or any of them, all such inventions or discoveries and all rights in such Application including any and all provisionals, substitutions, divisions, and continuations thereof, and to all Letters Patent that may be granted for said inventions and discoveries, and in and to all extensions, supplementary protection certificates, reexaminations, renewals, and reissues thereof, to be held and enjoyed by Assignee for its own use and enjoyment to the full end of the term or terms for which such Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me had this assignment and sale not been made.

I shall execute all papers necessary in connection with the Application in the US Patent and Trademark Office, European Patent Office, any other patent offices, and under the Patent Cooperation Treaty, and any continuing, divisional, or reissue applications thereof, any reexamination of any of such applications, and any patent term extensions or supplementary protection certificates of any such applications and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

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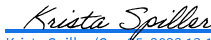
I shall execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

I shall do all other acts which, in the opinion of Assignee, may be necessary or desirable to secure the grant of Letters Patent to Assignee or its nominees, in the United States, by the European Patent Office and in all other countries where Assignee may desire to have such inventions or discoveries, or any of them, patented, with specifications and claims in such form as shall be approved by Assignee and to vest and confirm in Assignee or its nominees the full and complete legal and equitable title to all such Letters Patent.

I hereby (i) authorize and request the Commissioner of Patents to issue any and all Letters Patent of the United States resulting from the Application or any divisional, continuation, or reissue applications thereof, and any reexamination of any of such applications, to Assignee, and (ii) covenant that I have full right to convey the interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

I hereby grant the attorney of record the power to insert on this assignment any further identification which may be necessary or desirable in order to obtain legal recordation of this document.

Krista Spiller


Krista Spiller (Sep 25, 2023 13:10 EDT)

/_____
Signature

Sep 25, 2023

Date

PRD4254USPSP1_Assignment-Spiller_JRDLLC

Final Audit Report

2023-09-25

Created:	2023-09-25
By:	CHRISTINE KOCHMANN (ckochman@its.jnj.com)
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UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

SEPTEMBER 29, 2023

PTAS

DAVID LANE
ONE JOHNSON & JOHNSON PLAZA
NEW BRUNSWICK, NJ 08933-7003

508144166

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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RECORDATION DATE: 09/27/2023

REEL/FRAME: 065049/0452
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: PRD4254USPSP1

ASSIGNOR:

JANSSEN RESEARCH & DEVELOPMENT, LLC DOC DATE: 09/27/2023

ASSIGNEE:

JANSSEN PHARMACEUTICA NV
TURNHOUTSEWEG 30
BEERSE, BELGIUM 2340

APPLICATION NUMBER: 63443289

FILING DATE: 02/03/2023

PATENT NUMBER:

ISSUE DATE:

TITLE: GENE THERAPY VECTORS FOR USE IN PARKINSON'S DISEASE

ASSIGNMENT RECORDATION BRANCH
PUBLIC RECORDS DIVISION

General Assignment

WHEREAS, **Janssen Research & Development, LLC**, having its place of business at 920 US Route 202, PO Box 300, Raritan NJ 08869 (hereinafter referred to as "Assignor"), is the owner of certain new and useful inventions relating to

GENE THERAPY VECTORS FOR USE IN PARKINSON'S DISEASE

for which on February 3, 2023, United States patent application no. **63/443289** was filed in the United States Patent and Trademark Office,

WHEREAS, **Janssen Pharmaceutica NV**, having its place of business at Turnhoutseweg 30, Beerse, Belgium 2340 (hereinafter referred to as "Assignee") is desirous of acquiring Assignor's entire right, title and interest therein, including the right to claim priority thereof,

NOW, THEREFORE, BE IT KNOWN, that in view of good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Assignor hereby assigns and transfers, for all countries in the world, to said Assignee its entire right, title and interest, including the right to claim priority, in and to all said inventions disclosed in said applications and in and to said applications, and all foreign equivalents thereof, including all substitutions, divisions, and continuations thereof, and in and to all Letters Patent, that may be granted for said inventions, and in and to all extensions, renewals, and reissues thereof, the same to be held and enjoyed by said Assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by Assignor if this Assignment had not been made.

And for purpose of obtaining patent protection in any foreign country, the Assignor hereby agrees that, any right, title and interest, in and to all said inventions disclosed in said applications and in and to said applications, and all foreign equivalents thereof can be directly assigned by the inventors to the Assignee without explicit confirmation of the Assignor.

The Assignor agrees that this general assignment is effective from 3rd day of February 2023.

IN TESTIMONY WHEREOF, the Assignor and Assignee set hereunto their hand and seal this date.

For Janssen Research & Development, LLC

Harish Srinivas
Harish Srinivas (Sep 27, 2023 10:21 EDT)

Name: Harish Srinivas
Title: Assistant Secretary

Date: Sep 27, 2023

For Janssen Pharmaceutica NV

Leah Mae Octavio
Leah Mae Octavio (Sep 27, 2023 10:24 EDT)

Name: Leah Mae Octavio
Title: Assistant Secretary

Date: Sep 27, 2023

PRD4254USPSP1_CO_TO_CO_ASSIGN_Janssen_Research_and_Development_LLC_to_Janssen_Pharmaceutica_NV_HS_LMO

Final Audit Report

2023-09-27

Created:	2023-09-26
By:	CHRISTINE KOCHMANN (ckochman@its.jnj.com)
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"PRD4254USPSP1_CO_TO_CO_ASSIGN_Janssen_Research_and_Development_LLC_to_Janssen_Pharmaceutica_NV_HS_LMO" History

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2023-09-26 - 9:06:54 PM GMT

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